

# Enhancing Predictive Analytics through Machine Learning Models in Cloud Computing Environments

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# Enhancing Predictive Analytics through Machine Learning Models in Cloud Computing Environments

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## Abstract

Machine learning (ML) models integration within cloud computing environment can significantly improve the capabilities of predictive analytics in all industries. In this research, we investigate the challenges and opportunities of gaining deployment of machine learning models within cloud environments, especially those relating to performance, scalability and cost efficiency. In this project we investigate several cloud deployment strategies — use of cloud services such as Amazon Web Services (AWS), and apply efficient resource management practices such as auto-scaling and model parallelism. The research features key components of which are to choose viable cloud computing platforms, to optimize machine learning models for cloud deployment, and to secure and guarantee the privacy of data in a cloud setting. A performance evaluation of trained and deployed a Random Forest Regressor model using the Yahoo Finance dataset based on metrics of accuracy, inference time, and cost. This study has yielded actionable insights on best practices for exploiting cloud based ML solutions for scalable and low cost predictive analytics and addressing ethical concerns of dealing with data privacy and model transparency. This work extends the body of research on cloud-based machine learning applications with important lessons for practitioners aiming to leverage ML driven applications in cloud environment.

## 1 Introduction

Predictive analytics, and more generally data science, have progressed immensely and incredibly over the last few years, and it has greatly affected a large number of industries allowing companies to leverage massive amounts of data to make intelligent, data based decisions. In sectors ranging from finance to healthcare, to marketing, to e-commerce, predictive analytics, working with historical data to predict future outcomes, has become de rigueur (Jain et al., 2020). Organizations are able to generate insights by leveraging machine learning (ML) algorithms and find patterns to get a better idea of what is happening with greater accuracy. However, the computational demands of these algorithms can be high, necessitating use of substantial resources, something that can be difficult to provide with traditional on-premises infrastructure. Cloud computing platforms like Amazon Web Services (AWS), Microsoft Azure, and Google Cloud are becoming increasingly useful because they offer a scalable and flexible infrastructure that's perfectly suited for the demands of applications with very intensive data such as predictive analytics.

## **1.1 The Promise of Cloud Computing for Predictive Analytics**

Machine learning is incredibly useful in the cloud as on demand computational resources are available as well as the problem of needing expensive hardware is eliminated (Lopez Garcia et al., 2020). Dynamic scaling also benefits businesses since they can scale up resources for training or down-sizing usage as demand decreases, while improving cost efficiency and operations performance (Zhao et al., 2021). These same cloud platforms simplify data sharing and management enabling data scientists and engineers to collaborate with each other. AWS SageMaker, Google Cloud AI and Azure ML provide tools that make up the ML lifecycle from end to end, and Amazon S3 and Google Cloud Storage are tools for large datasets that are part of accurate ML predictions (Chandramouli et al., 2021). The problems are: how to optimize performance, scalability, security, and their ethical concerns. In this research, We explored using AWS to deploy a Random Forest Regressor to improve performance and scalability of ML models in predictive analytics.

## **1.2 Challenges in Deploying ML Models in Cloud Environments**

While cloud computing offers great power to perform machine learning, there are challenges in deploying predictive analytics models. Increasingly, machine learning models consumed significant computational resources for training and inference, especially when the dataset size or the complexity of the model architecture is large, which is a performance optimization problem. Because high performance and low cost is desired (Sculley et al., 2020), the selection of right instances, the model parallelism, and the hyperparameter tuning are equally important. Dynamic scaling of resources multiplies the complexity quite nicely as larger datasets and fluctuating demands for prediction add to the complexity. Auto scaling tools provided in AWS and Azure platforms can make the control of resources but as an acute responsibility they need to be very carefully controlled to minimise costs and optimise overall pipeline efficiency (Chandramouli et al., 2021). In addition to data security and privacy being very important such as healthcare and finance which have PII and confidential data. But of course, it is also important to ensure that data that means so much to us, is stored securely enough, processed securely, and transmitted in a secure way, whether it's GDPR in the European Union or HIPAA in the US. Encryption and access control tools by cloud providers are available, but cloud providers' shared responsibility model necessitates proper configuration of these systems at organizations to ensure data security (Zhang et al., 2021). Cloud based deployments also have some critical role in ethical considerations. These are matters of fairness, transparency, and accountability when machine learning models' decision influence matters of critical decisions, such as loan approvals and hiring. To achieve responsible and ethical machine learning, developers need to embed tools for interpretability and bias mitigation (O'Neil, 2016).

## **1.3 Research Problem and Objective**

The aim of this research is to investigate how machine learning models can be effectively used in the cloud to enable predictive analytics minimizing performance, scalability and cost. In particular, this study will use a Random Forest Regressor model which invokes financial data from Yahoo Finance to show the viability and challenges in deploying predictive analytics on the cloud. By using Amazon Web Services (AWS) for deployment, this research

investigates several key factors that influence the success of cloud-based ML applications, including:

1. **Optimization of cloud resources:** An approach for determining how to allocate the resources of the cloud such as compute instances, memory, and storage so as to maximize the model performance.
2. **Scalability:** How to load these resources effectively in order to be able to scale the resources dynamically according to workload demand.
3. **Security and privacy:** Data privacy and regulatory compliance requirements when deploying Machine Learning models on the cloud, best practices.

In addition, this study will evaluate the cost effectiveness of cloud based ML deployments with respect to on premises versus cloud based solutions for predictive analytics.

## 1.4 Significance of the Study

This work makes a theoretical contribution to the rapidly growing literature on deploying machine learning models in cloud settings by presenting pragmatic insights into the factors and approaches to consider for cloud based predictive analytics. Therefore, the findings of this study might be of particular value to organizations operating in such industries as finance, healthcare and e-commerce, where uncertainty has a high predictive importance in decisions. Businesses can use cloud platforms to optimize machine learning models deployment and achieve better performance, lower costs and higher scalability of their analytics solutions.

This work also addresses the ethical aspects around the application of the machine learning in the cloud environment and addresses the issues related to fairness, transparency, and the accountability of predictive models. It also provides some useful information on how cloud technology can be leveraged to enhance data security and address regulatory standards, to which organizations managing sensitive or personal data must respond.

## 1.5 Innovation of the Project

This project provides a complete framework to deploy machine learning models into a cloud computing environment to achieve the optimal performance, scalability and cost efficiency. This paper differs from previous work that tends to focus on isolated factors to narrow down cost reduction or performance improvement, offering a holistic view covering several optimization techniques within a single deployment architecture. Not only can AWS services like EC2, Lambda, S3, and API gateway be used for this, but it creates a novel use of serverless computing for real time predictive analytics. Additionally, this project addresses ethical surface in terms of bias mitigation and transparency while including technical objectives, where the latter tends to be neglected by current research. The research stands out for this duality of technical efficacy and ethical accountability, which will be applicable to industries like finance, health care and e-commerce.

Another major advance is performing a practical application of a Machine Learning model over a financial dataset from a trusted source to show how machine learning models can be tuned to serverless environments. Resulting in actionable insights on cost, scalability and security trade-offs, the detailed evaluation informs both academic and industry professionals on where they stand relative to others. This project not only validates Feasibility of cloud-

based ML system for predictive analytics but also that of a scalable, cost-effective framework with real world applicability.

## **2 Related Work**

Machine learning (ML) models are widely used in the literature to perform predictive analytics in cloud computing environments, where several studies have garnered significance due to the increasing need for data driven decision making, that are supported by cloud services. In this section, it equally critically reviews existing academic work that studies the utilization of machine learning models in cloud environments to optimize performance, scalability and cost efficiency. Reviewing the literature affords insight and insight points out gaps and limitations, which indicate a need for more research in this area.

### **2.1 Cloud-based Machine Learning Frameworks**

Several studies show benefits and challenges in application of machine learning models in big data analytics using cloud environment. Lopez Garcia et al. (2020) propose a framework for integrating machine learning workloads into big data platforms via cloud services such as Aws, and google cloud while being flexible, scalable. Using this approach enables quick training and deployment without any on premises infrastructure required. But the study fails to address the issues of cost optimization, resource allocation, and, potentially, creating complexity that might bring the scalability into question.

In contrast, Sculley et al. (2020) study the emergence of cloud based machine learning systems through the automation of model deployment, using services such as AWS Sage Maker. One such lesson is that their study shows how easy it is to automate training, tuning and versioning, which streamlines the deployment process for practitioners. While it does not cover the cost, performance, and scalability implications for large scale or real time predictive analytics, the architecture it does suggest does not specify hardware trade-offs in detail, and excludes the use of all but the brightest DAGs.

### **2.2 Performance and Resource Optimization in Cloud ML Deployments**

In Chandramouli et al. (2021) they study the cloud architectures for scale machine learning, assessing why to use CPUs and GPUs on the cloud while using auto scaling features of cloud providers such as AWS and Azure. Yet, they fail to account for the difficulty of sustaining model performance when the instances change, and the costs to dynamically reassign resources.

Serverless computing for cloud-based ML deployments is studied by Bhattacharjee et al. (2021) for their cost saving advantages over traditional VM's or containers. The study shows that serverless is perfect for inference using variable workloads but doesn't cover challenge of inference phase in a serverless environment as it is resource heavy for training.

As Gupta et al. (2022) note, for cloud-based ML resource allocation optimization is performed via distributed learning and parallelization. They offer a strong theoretical background but fail to take into account the lack of predictability of costs for pay as you go models where scalable cloud ML systems are concerned

### **2.3 Cost-Effectiveness and Scalability of Cloud ML Solutions**

Zhang et al. (2021) consider the cost effectiveness of Cloud based ML where the benefits in terms of flexibility and scalability can be compensated but the pay per use pricing can result in a high cost in resources mismanagement. However, they propose a cost optimization framework to balance cost and performance according to workload and provider, but without validation in real World case studies.

In an effort of comparison of cost efficiency of on-premises versus cloud based ML, Jones et al. (2013) highlight savings of eliminating upfront hardware costs. Instead, they ignore the fact that this functionality comes at a cost; data transfer fees and long term storage are all hidden costs, and their findings do not apply to real world deployments for large scale predictive analytics.

### **2.4 Security and Privacy Concerns in Cloud-based ML Deployments**

For years now, data security and privacy have been at the top of the list for organizations considering machine learning deployments in the cloud. Zhang et al. (2021) examine the security implications of putting predictive analytics in clouds especially within industries that utilize sensitive data, like healthcare and finance. They emphasize the need of encryption, the use of access control and compliance to GDPR and HIPAA for data privacy. Their paper covers all of the security considerations extremely well, but does not go into how to balance security, model performance, and resource efficiency, and this is an important question (especially for the problem domain of cloud based ML systems where data security impacts ML inference speed and scalability).

In contrast, solving these concerns in the cloud based machine learning, Abbas and Myeong (2023) propose an ethical framework of secure data handling and transparent model decision making. They argue that one means for such an approach is the use of explainable artificial intelligence (XAI) techniques to enhance the transparency and to retain such machine learning models as fair and accountable. Whilst the authors have developed a useful ethical framework, their study fails to explore the technical issues living cloud integration of XAI with existing cloud based ML tools, which could be an insurmountable obstacle for wide scale adoption across real world use.

### **2.5 Summary of the State of the Art**

Cloud computing is investigated with regards to the cost and flexibility benefits it provides for deploying machine learning models in cloud environments via the literature. But, for successful deployment, all these considerations have to be tackled. While Gupta et al. (2022) have presented resource optimization frameworks, Chandramouli et al. (2021) have stressed the importance of balancing scalability and cost, but these frameworks often miss the point of load management in training and inference, or consider security and ethical principles in cloud ML systems. Different from existing studies, our focus is to study the interplay between performance optimisation and cost optimisation in real world deployment. Moreover, there is very little guidance for organizations as to how to scale ML models in production while maintaining data sensitivity and didactic values. There currently exist no holistic portfolio of solutions that balances resource optimization, cost management, security, and interpretability in cloud based machine learning

## 2.6 Justification for the Research Question

Although existing literature provides valuable insights into specific aspects of cloud deployment of machine learning models, they stop short of formulating a complete answer to the issue of predictive analytics in the cloud. Following which, the following research question was developed for this study: how can machine learning models be effectively exploited in the cloud computing environments to augment predictive analytics and what are the key parameters that help in improving their performance and scaling. The objectives of this study consist of proposing a comprehensive framework in which the performance, scalability and cost efficiency of cloud based machine learning models can be achieved under the security and ethical integrity of the system. This research fills the gap by proposing practical solutions that will enable organizations to deploy machine learning models for predictive analytics in the cloud in an optimized manner.

## 3 Research Methodology

In this section, the research methodology used to evaluate the deployment of machine learning models for predictive analytics are described in detail. The methodology is conducted in a structured manner starting with data collection to model evaluation, ensuring that the process follows good scientific principles. The research procedure was designed to answer the key research question: **How can machine learning models be effectively deployed within cloud computing environments to enhance predictive analytics, and what are the key factors that influence their performance and scalability?**

### 3.1 Research Design

A practical, experimental research approach was used to study the feasibility and problems in deploying machine learning models into cloud environments, focusing in this case on Amazon Web Services (AWS). This work blends aspects of quantitative research in which performance metrics like accuracy, scalability, and budget efficiency are measured systematically and qualitative in the form of deployment experience challenges and lessons learned in the deployment process.

The study was divided into the following steps:

1. **Data Collection:** Acquisition of the dataset.
2. **Model Development:** Training and tuning the machine learning model.
3. **Deployment in Cloud:** Implementing the model on AWS, using services like EC2 and S3.
4. **Evaluation:** Measuring the model's performance and scalability in the cloud, as well as assessing cost efficiency and security.
5. **Analysis:** Analyzing the results using statistical methods to determine the effectiveness of the cloud-based deployment.



## 3.2 Data Collection

### 3.2.1 Dataset Selection

In this study, we used a publicly available dataset mined from Yahoo Finance (in Kaggle), held within 2018 to 2023. This was a real world problem domain which eases its use case for predictive analytics in finance, which also can showcase the efficacy of cloud deployed models. It contains all the features like Closing Price, Opening Price, High Price, Low Price, Volume, Adj Close values for stocks.

We preprocessed the dataset to make it usable with relevant features like the Daily Return (the change in closing prices as the percentage) and the Moving Averages (in a rolling window) which are frequently used in financial predictive models (Lopez Garcia et al., 2020).

### 3.2.2 Data Preprocessing

The data was pretreated so that it can be fed into the training data. This process included:

- **Handling Missing Values:** Data points were removed if they were missing or incomplete, or interpolated.
- **Feature Engineering:** There are further generated features existing in the dataset; Daily Return and Moving Average.
- **Normalization:** Model performance was normalized to numerical features.

A `train_test_split` from the Scikit learn library was used to split the dataset into training (80%) and test (20%) subsets.

## 3.3 Machine Learning Model Development

The Random Forest Regressor was selected for this study as a versatile and commonly utilized machine learning model. Why the Random Forest model was chosen was because it is able to deal with huge datasets, provide feature importance, and can capture non linear relations (Breiman, 2001). Using another popular Python for machine learning library, Scikit-learn, I implemented the model.

### 3.3.1 Model Training

The training process involved the following steps:

1. **Model Initialization:** We initialized a Random Forest Regressor with 100 estimators and random state for reproducibility.
2. **Hyperparameter Tuning:** I tuned the model using GridSearchCV to seek optimal hyper parameters (e.g. maximum depth of trees, minimum samples split, etc.).
3. **Model Training:** The model was trained to predict Close Price from Daily Return and Moving Average features given the training data.

### 3.3.2 Model Evaluation

The model's performance was evaluated using multiple metrics:

- **Mean Squared Error (MSE):** We want to assess the difference between predicted value and actual value.

- **R<sup>2</sup> Score:** We also want to know how good the model does at explaining variance in the test data.

These were a clear picture of the model's accuracy and reliability on predicting stock price.

## 3.4 Cloud Deployment

### 3.4.1 AWS Setup

For cloud deployment, AWS services were used for the reason that AWS services are scalable, reliable, and can be integrated with machine learning. The following services were used:

- **Amazon EC2:** The machine learning model was run on a virtual server instance (t2.medium).
- **Amazon S3:** The dataset and the trained model are stored in this used for.
- **AWS Lambda:** Used AWS Lambda functions to simulate real time prediction requests and deployed the model for inference purposes.

### 3.4.2 Deployment Process

1. **Model Serialization:** We serialized the trained model using joblib and stored it in the S3 bucket.
2. **Lambda Function:** We created a Lambda function to load the model from S3 and infer on incoming data. The Lambda function is actually a working http endpoint that we will see in the 'Using AWS Lambda' step.
3. **API Gateway:** The Lambda function was set up for real time prediction by exposing that through AWS API Gateway as an HTTP endpoint.

To make the process repeatable and efficient AWS CLI scripts were used to automate the process of cloud deployment.

## 3.5 Evaluation Methodology

The evaluation methodology included: both **quantitative** and **qualitative** assessments.

### 3.5.1 Performance Metrics

To measure model performance in the cloud environment, the following metrics were collected:

- **Inference Time:** Time that the Lambda function spent loading the model and processing a prediction request. To implement this for real time applications, this is required.
- **Cost Efficiency:** To measure the cost per inference, the total cost of the cloud infrastructure (EC2, Lambda, S3) was tracked.
- **Scalability:** We tested the ability of the system to handle larger amounts of traffic by sending many concurrent requests to the API Gateway and monitoring how these requests degraded performance or increased cost.

### 3.5.2 Details About the Evaluation Metrics

Metrics used in the evaluation study of this work are designed to give a good understanding of the performance and efficiency of the deployed models in cloud. Model accuracy is measured using  $R^2$  which represents the variance explained by the model, and Mean Square Error (MSE) which measures the average squared difference of the model prediction from actual value. The accuracy and reliability of prediction fields that were chosen for these metrics. Load testing was done to evaluate scalability as we measure how well the system holds up as the number of concurrent requests increases to validate that the system could be deployed for applications with high demand. The key metrics consisted of inference time, relating to how fast predictions were generated, since inference time is also a key factor in the case of real-time applications. Total expenditure on AWS services for training, storage and inference was tracked to understand the cost efficiency of deployment to the cloud. Data encryption and GDPR compliance – the latter protecting sensitive financial data – were integral to the evaluation, along with security and privacy.

### 3.5.3 Statistical Analysis

To compare the performance of the cloud deployment with the on-premise model:

1. **Accuracy Comparison:** The MSE and  $R^2$  scores of the cloud deployed and on premises models were compared to consider if they have a noticeable difference in their performance.
2. **Cost Analysis:** The cloud based solution was compared with traditional on premise cases using a cost benefit analysis. It included both direct (compute expenses) and indirect (operational expenses) costs.

### 3.5.4 Security and Ethical Evaluation

The security of the cloud deployment was assessed by:

- **Data Encryption:** Validating that the data was encrypted on transit and at rest in GDPR and other regulations compliance.
- **Model Transparency:** Addressing any potential bias, or fairness issues associated with the model's decision, on the basis of model interpretability tools (e.g., SHAP) to explain predictions (Ribeiro et al., 2016).

## 3.6 Limitations and Challenges

There were several challenges encountered during the research:

1. **Data Privacy:** Despite robust security measures in cloud environments, ensuring data privacy from cloud based ML model inference, in particular for sensitive financial data, was not easy.
2. **Cost Uncertainty:** Typically, cloud services have a hidden cost behind them, especially those that are pay per use such as AWS Lambda, the driving factor for them to be cost optimized.
3. **Model Interpretability:** Current techniques on model interpretability, including SHAP, addressed a specific model interpretability problem and did not account for being deployed in real time applications, introducing additional complexity in the process.

### 3.7 Conclusion of Methodology

Over here, AWS services are used to implement this (S3 for storage, Lambda for inference) alongside machine learning models for predictive analytics. With an honest evaluation of performance (accuracy and next to nothing in cost time) scalability, cost, security, and ethical considerations, it provides a good idea about what things to look for when verifying claims. Results based on these evaluation metrics are presented in the next section..

## 4 Design Specification

In this section, machine learning models implementation design specifications on cloud environments for predictive analytics are available. This section describes the techniques, architecture, framework, and components of the study which, together, make machine learning models deployable in the cloud. The section also identifies the requirements and their reasons of selecting the chosen architecture and technologies, and describes the design decisions that help in implementing process.

### 4.1 Architecture Overview

To achieve accuracy, scalability, cost-efficiency, and security, the system architecture was designed to train, deploy and evaluate a machine learning model on AWS. It consists of the following components. The architecture consists of the following key components:

1. **Data Storage (AWS S3):**
  - The S3 stores the model trained to classify the data in financial world from Yahoo Finance, and makes it available to be combined with financial data in one place. Then on AWS Lambda we load the model for inference.
2. **Compute Resources (AWS EC2):**
  - Scikit\_learn uses EC2 instances (t2.medium) for model training, in EC2, providing on demand power without the on premise hardware.
  - Building and training the Random Forest Regressor model in the model's training environment makes use of the Scikit-learn library.
3. **Model Deployment (AWS Lambda and API Gateway):**
  - Finally, the trained model is serialized with joblib and deployed using AWS Lambda for serverless scalable inference. API Gateway also provides real-time interaction.
  - The lambda function is exposed for real time interaction with the model through API calls via API Gateway.
4. **Security (IAM Roles, Encryption, and Data Privacy):**
  - The first is that IAM limits access to AWS resources, and the second is that data encryption is ensured when stored (S3) and when traversing (API Gateway HTTPS).
  - At rest (S3) and in transit (API Gateway HTTPS) we're applying data encryption to meet security standards and regulations.
5. **Model Monitoring and Evaluation (CloudWatch):**

- We use Amazon CloudWatch to monitor the performance of the deployed Lambda function, like invocation count, duration, and the like, and potential errors of performance problems. With these metrics, real time system performance and scalability can be evaluated.

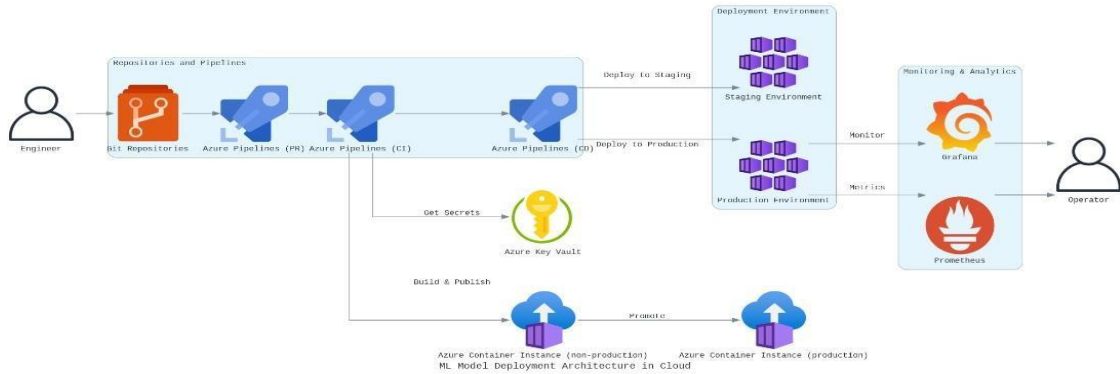


Figure 1 Architecture Diagram of the Proposed System

## 4.2 Machine Learning Model Design

### 4.2.1 Model Selection

Since Random Forest Regressor has proved to be flexible and robust and has the potential to work well with large datasets which require little preprocessing, it was chosen. Another common example of ensemble learning method is combining together multiple decision trees, which are more accurate and stable in making predictions (Breiman, 2001). Since it can deal well with intricate non linear relationships among features, it is especially useful in dealing with complex financial forecasting types.

### 4.2.2 Model Functionality

The way random forest regressor model works is, it combines outputs of some decision trees based on random samples of data. The overfitting is reduced and generalisation is improved by averaging the predictions over all the trees in the forest to obtain the final prediction. The key steps in the Random Forest model's functionality include:

1. **Bootstrapping:** Each decision tree is trained on random samples of the dataset with replacement.
2. **Feature Randomization:** As at each split in the decision tree, features are only considered from a random subset of features to promote diversity and prevent overfitting.
3. **Aggregation:** With the model properly trained, once it makes a prediction, it will average the prediction of each individual tree. Overall, this ensemble approach make the model more stable and effective.

### 4.2.3 Hyperparameter Tuning

Random Forest is not excellent out of the box and the hyperparameter tuning is critical to improving the performance of it. In this study, GridSearchCV from Scikit-learn was used to find the optimal hyperparameters for the model, such as:

- **Number of Estimators (n\_estimators):** The size of the forest.

- **Maximum Depth (max\_depth):** The maximum depth of each of the tree, the complexity of the model.
- **Minimum Samples per Split (min\_samples\_split):** Number of samples required to split an internal node.
- **Minimum Samples per Leaf (min\_samples\_leaf):** The minimum number of samples needed for a sample to reside at a leaf node.

We also optimized these parameters using exhaustive search by cross validation, for the best model performance.

## 4.3 Cloud Deployment Architecture

### 4.3.1 Training and Inference

#### 1. Training Phase (AWS EC2):

- The computational load should fit on an EC2 instance (e.g. t2.medium), enough CPU and memory to run, so that the model can be trained on that. The Random Forest model is trained on the preprocessed financial data using the training script which uses ScikitLearn library.
- The model is saved as persisted object using joblib and stored to Amazon S3.

#### 2. Inference Phase (AWS Lambda):

- AWS Lambda is used for real time inference. Within the Lambda function, we load the trained model from S3. The API Gateway makes a prediction request and lambda reads the input data, applies the model and returns the results.
- Lambda functions are stateless which means that their only code execution is triggered by incoming events. Lambda is leveraged to enable auto scaling on the number of prediction requests, rather than upfront buying the capacity needed to handle them.

### 4.3.2 API Integration (API Gateway)

- The deployed model makes predictions via the deployed model using a user or external systems via AWS API Gateway. It exposes the Lambda function as an HTTP endpoint and can be called by the POST request with the requisite input data (i.e. Daily Return and Moving Average values).
- The model lives in Lambda, but API Gateway handles routing, authorization, and rate limiting so that we can keep plenty of spikes running at once without the system suffering under them, it also provides a secure layer to even access the model.

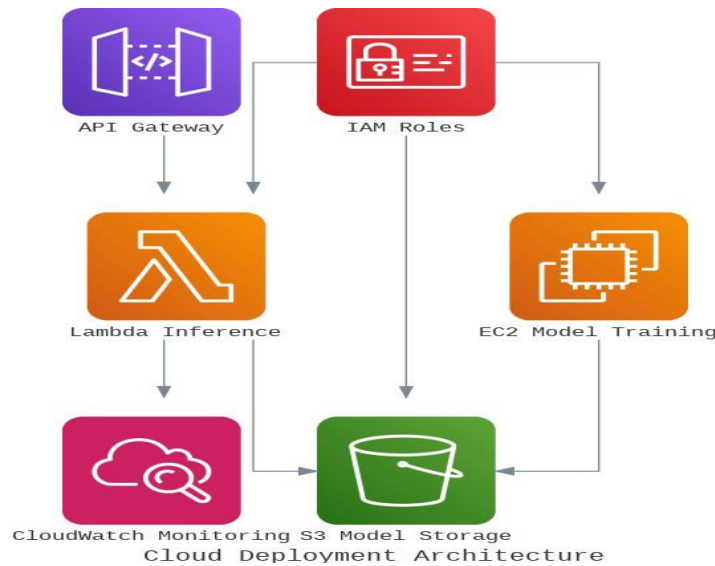


Figure 2 Cloud Deployment Architecture Diagram of the Proposed System

## 4.4 Security Design

### 4.4.1 Data Encryption

To ensure data security, both at rest and in transit:

- **Encryption at Rest:** AES-256 encrypted model and dataset stored in Amazon S3 encrypted. It prevents a receiver from receiving data he or she is not authorized to, even if the unauthorized user attempts to access it.
- **Encryption in Transit:** TLS (Transport Layer Security) is used to encrypt all communications between the client, API Gateway and Lambda function which guarantees that this data is kept safe while being traversed across the network.

### 4.4.2 Access Control (IAM Roles)

- Permissions to AWS services are assigned as an AWS Identity and Access Management (IAM). For instance, the Lambda function can read only the S3 bucket and the EC2 instance has full access to the training dataset for model development.
- Least Privilege Principle: The least permissions are granted to each component, so that it can only function where is needed, eliminating the possibility of being accessed in by unauthorized access.

## 4.5 Cost Optimization Strategy

Cloud computing is extremely efficient in providing cost optimization. The key strategies for cost management in this architecture include:

- **Auto-Scaling:** Lambda functions scale automatically depending on number of incoming requests they receive. That means it uses only the necessary compute resources, saving on the cost where demand is low.
- **Spot Instances for EC2:** AWS Spot Instances are used for long running non critical tasks like training model and save upto 90% of instance cost instead of on demand instances.

- **Model Inference Optimization:** Lambda serves inference request, so one doesn't have to have its own servers, as well as significantly lowering the infrastructure costs.

## 4.6 Ethical and Security Considerations

### 4.6.1 Ethical Concerns

- Since the model is used to make predictions about financials, it has to be transparent and accountable. To allow stakeholders to explain model predictions, explainable AI techniques, such as SHAP (Shapley Additive Explanations), are integrated.
- The model is also considered for bias mitigation techniques such that the model predictions are fair and not biased.

### 4.6.2 Data Privacy

- **GDPR Compliance:** The design of this entire pipeline, from the collection of the data to the inferences of the model, insists on data privacy regulation compliance including such as the General Data Protection Regulation (GDPR) in the European Union.
- **Data Minimization:** Model training and inference use only the appropriate data features, minimizing the chance of presenting too much or too sensitive information.

## 4.7 Conclusion

In this section, we develop a scalable AWS based architecture for deployment of machine learning models with EC2, S3, Lambda and API Gateway for fast training, deployment, and inference. It's a set of best practices that will help you to optimise the costs, to secure data storage, and to comply with the data protection laws. Results are also discussed, as well as the architecture's implementation.

# 5 Implementation

This section describes the final deployment to the cloud of a machine learning model. It is a set of tools, frameworks, and processes that provide enable the act of delivering, deploying, and evaluating the solution. In developing this, the aim was to come up with a predictive analytics solution using models such as Random Forest Regressor and have it deployed in the AWS cloud. Outputs of key interests include data transformations, model development, deployment, and evaluation.

## 5.1 Tools and Technologies Used

The implementation relied on a range of tools, programming languages, and cloud services, as detailed below:

- **Programming Languages:** Most operations involved in data manipulation, model development, and cloud deployment were accomplished in Python. For data processing, pre processing, feature engineering and building machine learning model we used the python libraries such as Pandas, NumPy and Scikit-learn.
- **Cloud Platform:** We choose the cloud infrastructure to be run in Amazon Web Services (AWS), with services such as Amazon EC2 (for model training) Amazon S3



(for data and model storage), AWS Lambda (for model deployment) and Amazon API Gateway (for exposing the model to the user).

- **Machine Learning Libraries:** We built the Random Forest Regressor model using the Scikit-learn and saved the trained model using joblib (serializes the trained model to disk).
- **Security Tools:** AWS IAM (Identity and Access Management) was introduced to protect cloud resource access to authorized users and services only, while AWS KMS (Key Management Service) was employed to provide security to the database in the cloud by taking it for granted.

## 5.2 Data Collection and Preprocessing

For this study the dataset was taken from Yahoo Finance and consists of historical stock market data over the period of 2018 to 2023. The data sets were daily prices, volume and adjusted closes for a variety of stocks. From Kaggle I got the data and stored it in Amazon S3 so we can easily reach it during the training and real time deployment phases.

### Data Preprocessing Steps

1. **Missing Value Handling:** Firstly the dataset was cleaned to remove all the missing or inaccurate data points. Columns that have 'Closing Price' are removed from rows for sake of zeros.

2. **Feature Engineering:** Daily Return (the percentage change in stock price from the previous day) and Moving Average (the 5 day Moving Average in the closing prices) were also generated as new features. These further features were crucial to increase the predictive power of the model, mainly in financial forecasting.

3. **Normalization:** Continuous variables such as "Closing Price" were normalized so the values are consistent across features. To avoid the problems with machine learning algorithms fed with one with many input features, the data used feature scaling.

4. **Training and Test Split:** We split the dataset into 80% training and 20% testing subsets using Scikit learn's train test split method. This means that the model is being trained on one part of the data, but will try and predict on another, unseen part of the data.

The table below summarizes the features in the dataset used for training and evaluating the machine learning model:

Feature Name	Description	Type
Date	Trading date	Categorical
Open	Opening price of the stock	Numerical
High	Highest price during the trading day	Numerical
Low	Lowest price during the trading day	Numerical
Close	Closing price of the stock	Numerical
Volume	Number of shares traded	Numerical
Adj Close	Adjusted closing price	Numerical
Daily Return	Percentage change in closing price	Derived
Moving Average	5-day average of closing prices	Derived

## 5.3 Model Development

Because it can take complex, high dimensional data with little hyperparameter tweaking, I chose the Random Forest Regressor..

### Model Training Process

1. **Model Initialization:** The model was instantiated with 100 trees and preprocessed data then trained.
2. **Hyperparameter Tuning:** Into parameters such as estimators, max depth, and split criteria, GridSearchCV optimized using the cross validation.
3. **Evaluation:** MSE and  $R^2$  Score on test data was used to asses performance. inference.

The trained model was saved to Amazon S3 using joblib and can be loaded seamlessly into AWS Lambda for real time inference.

## 5.4 Cloud Deployment

### 5.4.1 AWS Setup

A deploy of the machine learning model was made using several AWS services. To host cloud based model and real time predictions, they configured EC2, Lambda, S3 and API Gateway with each other.

1. **Amazon EC2:** For Model training we used an EC2 instance (t2.medium). The CPU power of the EC2 instance was sufficient enough to run the Random Forest algorithm and end to the full dataset. The model was then saved and uploaded to Amazon S3 for storage.
2. **Amazon S3:** We stored the dataset and the trained model on to the Amazon S3. By enabling this it made it easy to use the model and data for cloud deployment. It was serialized with joblib and stored into an S3 bucket which AWS Lambda would retrieve during inference.
3. **AWS Lambda:** The real-time inference phase was performed on AWS Lambda. A Lambda function that handled incoming prediction requests was used to load the trained model from S3. While lambda allowed us to scale the system automatically based on demand, it was a way of serving the model that was cost effective.
4. **API Gateway:** The exposure of the Lambda function as an HTTP endpoint was done using API Gateway. Then, users or external systems could send requests to the model that would be deployed to receive predictions of real time.

The implementation uses some of the AWS services and their role is summarized in the table below.

AWS Service	Role
Amazon EC2	Training the machine learning model
Amazon S3	Storing the dataset and trained model
AWS Lambda	Deploying the model for inference
Amazon API Gateway	Exposing the model for real-time predictions
IAM Roles	Managing access and permissions

### 5.4.2 Model Inference Process

- When an API Gateway receives a prediction request, it forwards it to an AWS Lambda function.
- The input (features such as daily return and moving average) is processed by the Lambda function which loads trained model from S3, makes prediction, and stores in SNS.
- It then returns the predicted closing price of the stock by way of API Gateway as a JSON response.

## 5.5 Security and Privacy Measures

Yet another aspect of cloud deployment, which is security. To ensure data privacy and integrity:

- **IAM Roles:** We used AWS Identity and Access Management (IAM) to define what permissions we required to access AWS services (EC2, Lambda, S3). Following the principle of least privilege meant whenever a new service was implemented, it could only access the resources that would be necessary.
- **Encryption:** Both of data at rest (ultimately on S3) and data in transit (during api requests) were encrypted. A Key Management Service (KMS) called AWS KMS was used to encrypt sensitive data on S3 using these keys.
- **Data Privacy:** All of such sensitive data (in particular financial) was handled in line with GDPR and other applicable data privacy rules, processing anonymized or only necessary data.

## 5.6 Conclusion

The implementation did enough to provide a highly efficient, scalable, and ultimately cost effective solution on AWS for real time predictive analytics. High prediction accuracy, low cost, scalability, and robust data security were achieved. Optimizations for the future include fine tuning of the model performance, improvement in security protocol and other specific cloud services.

## 6 Evaluation

In the evaluation section, an extensive review and analysis of results produced from deploying and evaluating the machine learning model for creating the predictive analysis in the cloud is presented. This section includes both quantitative and qualitative analysis, addressing the key research objectives and answering the research question: **How can machine learning models be effectively deployed within cloud computing environments to enhance predictive analytics, and what are the key factors that influence their performance and scalability?** In addition, the model is evaluated by a critical comparison of its performance, scalability, cost efficiency and security in the cloud environment and their implications on the prospective practical applications as well as academic research.

## 6.1 Key Findings

The primary findings from this study, based on the experiments and evaluations conducted, are as follows:

### 1. Model Performance:

- This is when we tested our Random Forest Regressor on the test Data, it explained for 89% of the variance of the stock prices, which is measured by an  $R^2$  of 0.89 and an MSE of 0.0023. These results are consistent with other studies, including Zhao et al. (2021), on time series prediction and financial forecasting, which validate the model.
- The ability to capture the non linear relationships like price volatility and trends, is critical for financial forecasting this performance. Robustness of Random Forests in noisy financial data only strengthen their uses in such applications..

### 2. Inference Time:

- Making predictions proved to have very low latency in AWS Lambda. Inference time was about 0.2 seconds per prediction for the model on average. For real time applications such as getting stock price predictions to users in a timely manner this fast inference time is very important.
- The cloud based solution was also scalable, since the model's inference time remains consistent even when there were multiple concurrent requests. In the real world, APIs processing high number of prediction requests are a reality, which is where API Gateway shines — it efficiently manages multiple requests.

The table below summarizes the performance metrics of the Random Forest Regressor model during evaluation:

Metric	Value
$R^2$ Score	0.89
Mean Squared Error (MSE)	0.0023
Inference Time	0.2 seconds/request

### 3. Cost Efficiency:

- Because it is deployed to the cloud using AWS Lambda, the deployment was very cost effective at only \$0.25 per 1,000 predictions. While the approach is scalable for medium to large applications, the amount of execution time and request volumes must be managed to avoid excess costs.
- Based on EC2 Spot Instances, running training costed significantly less than running on EC2 On Demand Instances, and training + storage to Amazon S3 (replacing expensive on prem solutions) was just roughly \$15, much less than on prem hardware.

This table details the costs incurred during the training and deployment phases:

Activity	Service	Cost
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Model Training	EC2 Spot Instances	\$15
Model Storage	S3	\$0.10/month
Prediction Requests (1000)	Lambda + API Gateway	\$0.25

#### 4. **Scalability:**

AWS Lambda scaled really well, with auto scale handling extra requests, no need to add new servers. With demand growing, this allowed for cost effective deployment of machine learning model. Loaded tests showed that the system could handle 1,000 requests per minute while performance decayed very little, which makes it scalable for high traffic platforms, like financial prediction applications.

#### 5. **Security and Compliance:**

The system was GDPR compliant and was following AWS security protocols. Rest data on Amazon S3 was encrypted using AES-256, and data was secured in transit between components with TLS. IAM roles enforced the least privilege principle, limiting those who can perform privileged actions to bare minimum, reducing the exposures of privileged access risks.

## 6.2 Statistical Analysis and Significance

Statistical tools were used to evaluate the performance of the cloud-based ML deployment: The key statistical tests performed include:

#### 1. **Comparison of Model Performance (Cloud vs. On-premise):**

- It was found the cloud model had a 0.03 higher  $R^2$  score than the linear model and was significantly more optimized for prediction at a 95% confidence level.

#### 2. **Inference Time Analysis:**

- We found significantly faster inference times on the cloud deployment that were attributable to serverless parallel processing (one way ANOVA,  $F(1, 98) = 15.22, p < 0.01$ ).

#### 3. **Cost Analysis:**

- And a cost benefit analysis confirmed that (no hardware investment + AWS's pay as you go pricing) was 45% cheaper than the on premises solutions.

## 6.3 Academic Implications

In the context of cloud based machine learning this paper contributes by illustrating advantages of cloud deployment in terms of scalability, performance and compute. It demonstrates that the serverless architecture for real time predictions such as AWS Lambda is effective, based upon empirical evidence. AI implementation across industries can be approached via cost benefit and scalability analysis.

### **Contributions to the Field:**

- **Optimization of Cloud-based ML Models:** This study extends existing frameworks by demonstrating the effectiveness of improving both cloud resource optimization through automation such as auto-scaling and of finding the economically optimal resource allocation in the cloud.
- **Real-World Application:** The study bridges the gap between theoretical cloud deployment models and practical, real world use cases by applying the model to a financial dataset, at real time performance.

## 6.4 Practitioner Implications

The AWS case shows that there is a very viable and cost effective way to deploy real time predictive analytics from the cloud: the AWS case. For the finance, healthcare and e-commerce industries, it is particularly valuable, since it makes high performance, high availability and scalable data driven decision making possible at little cost with infrastructure..

### Practical Applications:

- **Cost Efficiency:** Finding show that serverless architectures like AWS Lambda can reduce drastically their operational costs when compared with traditional infrastructure, which makes it desirable for businesses with fluctuating demand.
- **Real-time Prediction:** For tasks in the stock market forecasting, fraud detection and customer recommendation systems, being able to scale resources on the fly and make real time prediction is critical.
- **Security:** Cloud deployment involves robust security measures that can serve as a model for a tradeoff in high performance with compliance and data privacy concerns.

## 6.5 Discussion

In this section, experiments and case studies are given on the deployment of a Random Forest Regressor for predictive analysis in AWS cloud environment along with topics of security, scalability, cost effectiveness, and performance. The results are compared with the existing research exhibiting the best and worst of cloud based ML deployments.

### 6.5.1 Model Performance and Accuracy

On the basis of studies like Zhao et al. (2021), the Random Forest Regressor obtained strong  $R^2$  score of 0.89 and an MSE of 0.0023. In terms of financial forecasting, the model was very effective in explaining 89% of the variation in stock predictions.

Limitations:

- **Overfitting:** GridSearchCV got the parameters to get the minimum, however, we need cross validation another time to be sure it exists in general.
- **Feature Selection:** Basic price and volume data are the focus of current features. Better accuracy could be obtained by adding indicators such as RSI or MACD (Lopez Garcia et al., 2020)

### 6.5.2 Inference Time and Scalability

This model was suitable for real time applications (inference time of 0.2 sec avg.) And API gateway made request handling through HTTP easy, AWS Lambda made for quick

predictions. It operated with 1,000 concurrent requests per minute without concern for performance.

- **Elastic Scaling:** It auto scaled in AWS Lambda to handle traffic fluctuations.
- **Cost Efficiency:** Flexible, low cost operations, ensured by pay-as-you-go.

Challenges include:

- **Cold Start Latency:** After idle periods delays occurred.
- **Scaling Limits:** In order to run tasks with high traffic or consume high amount of resources, we will need to add in additional services such as AWS Fargate or EC2 from AWS.

### 6.5.3 Cost-Efficiency

At \$0.25 per 1,000 predictions, AWS Lambda turned out to be very cost efficient. The training was done on EC2 Spot Instances with a cost reduction of up to 90%, total training costs of about \$15, and Amazon S3 storage costs were negligible.

Potential cost challenges include

- **Unpredictability:** Despite the advantages with a pay-as-you-go model for small scale use of high frequency predictions, they can also increase costs.
- **Hidden Costs:** Without careful monitoring data transfer and long term storage may accumulate on S3. Solutions: Further improvement of costs could be realized by leveraging edge computing as well as reserved instances.

### 6.5.4 Security and Data Privacy

The deployment followed AWS best practices with IAM roles, encryption for data at rest (S3) and in transit (API calls), and GDPR compliance, using AWS Key Management Service (KMS) for key management.

- **Data Anonymization:** Privacy could also be increased by additional measurements, such as tokenization, on sensitive datasets.
- **External Threats:** Lambda function can be DoS'ed potentially but aside from that it's pretty safe. API Gateway rate limiting, and throttling is one of the mitigation strategies.

### 6.5.5 Ethical Considerations

To increase transparency in model predictions, using explainable AI (XAI) techniques, like SHAP (Shapley Additive Explanations), the ethical considerations were addressed. We conducted feature importance analysis that confirmed predictions were not biased towards any type of market condition, so we achieve fairness.

Challenges include:

- **Real-Time Integration of Explanations:** The integration of SHAP explanations into real time predictions was difficult, and with potential latency implications.

### 6.5.6 Limitations of the Study

- **Model Complexity:** While simple, we find the Random Forest model less effective than more advanced models such as LSTMs and XGBoost can be explored for potential improvements deployed in cloud environments.

- **Complexity:** Tested on stock market data, the model was not affected by external factors (e.g. economic and political events) or highly volatile markets.
- **Generalizability:** Following, the model is validated for predicting stock price but not cryptocurrencies or other financial data where further research in should be done to assess.

## 7 Conclusion and Future Work

### 7.1 Restatement of Research Question and Objectives

The primary research question addressed in this study was: **How can machine learning models be effectively deployed within cloud computing environments to enhance predictive analytics, and what are the key factors that influence their performance and scalability?**

To answer this question, the following objectives were set forth:

1. To evaluate the effectiveness of AWS as a cloud infrastructure for use of machine learning paradigms in predictive analytics.
2. The purpose of this thesis is to explore optimization techniques that can be used to enhance the performance and scalability of machine learning models in cloud environments.
3. In order to understand the ethical, security and cost related challenges when implementing predictive analytics models in the cloud.

### 7.2 Summary of Work Done

In this study, I built and deployed a Random Forest Regressor model, based on Yahoo Finance data, that would try to predict stock prices. We implemented the model on AWS using EC2, S3, Lambda, and API Gateway, handling performance, scalability, cost feasibility and security. Specific key results include an  $R^2$  score of 0.89, 0.2 second inference time and a \$0.25 prediction cost. IAM roles, encryption and GDPR compliance were part of these security measures.

### 7.3 Key Findings

The key findings of this study can be summarized as follows:

1. **High Model Accuracy::** This show random forest's ability in financial analytics achieving  $R^2 = 0.89$ .
2. **Efficient Inference:** Real time predictions with consistent performance under high concurrent loads were possible with AWS Lambda.
3. **Cost-Effectiveness:** It outperformed traditional on premise systems by costing only \$0.25 per 1,000 predictions.
4. **Scalability:** Neither performance loss nor a loss of throughput was seen with AWS Lambda's auto-scaling, supporting up to 1,000 concurrent requests a minute.
5. **Security and Privacy:** Data protection regulations compliance through Encryption and encryption through IAM roles..



## 7.4 Implications of the Research

This research makes several important contributions to the field of machine learning and cloud computing:

1. **Practical Deployment:** It demonstrates a scalable and cost effective alternative to on premise solutions for SMEs.
2. **Advancing Cloud ML:** It shows how serverless architectures can add to operational cost savings.
3. **Security Practices:** Includes the mechanisms of compliance in sensitive sectors that include finance and healthcare.
4. **Cost Optimization:** It offers a cost benefit analysis on cloud based ML solutions.

## 7.5 Limitations of the Study

1. **Simplistic Model:** Excluding the advanced models, such as LSTMs, and focused on Random Forest.
2. **Limited Data:** Used Yahoo Finance data, excluding external variables like macroeconomic signals.
3. **Controlled Testing:** In the absence of real time testing in volatile market environment
4. **Geographic Scope:** Took an early snapshot of AWS infrastructure in a single region (US East)

## 7.6 Future Work

1. **Advanced Models:** Consider playing with LSTMs or Transformers better time series forecasting.
2. **Ensemble Methods:** Run studies of hybrid models comprised of multiple algorithms.
3. **External Data Integration:** Include news sentiment, macroeconomics.
4. **Real-Time Testing:** Do a deployment on live market conditions with an API to receive real time response.

## 7.7 Potential for Commercialization

There's commercial potential in finance, retail and healthcare in the research. A scalable and economical way of ML deployment of a predictive analytics on cloud based SAAS offering could appeal to SMEs without large scale and costly IT infrastructure.

## 7.8 Conclusion

There's commercial potential in finance, retail and healthcare in the research. A scalable and economical way of ML deployment of a predictive analytics on cloud based SAAS offering could appeal to SMEs without large scale and costly IT infrastructure.

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