

Configuration Manual

MSc Research Project
Data Analytics

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MSc Project Submission Sheet
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Student Name: ...Karan Kohli.....
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Configuration Manual

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1 Introduction

This document contains the configuration manual used to develop the architecture of detecting student disengagement in virtual environment. Additionally, in the implementation section, the document has discussed the phases of code.

2 System Configuration

In the below sections, the work has discussed the hardware configuration and software setup which is used to develop the entire detection architecture.

2.1 System Configuration

For the development, the work has used Dell Inspiron 15 7570. The configuration of the system is: Operating system- Microsoft Window 10 Home Single Language, Processor- Intel Core i5, Ram- 16GB, GPU- Nvidia GeForce 940MX, SSD- 1 TB. Please refer the Figure 1 for more information.

| Item | Value |
|---------------------------------|---|
| OS Name | Microsoft Windows 10 Home Single Language |
| Version | 10.0.19045 Build 19045 |
| Other OS Description | Not Available |
| OS Manufacturer | Microsoft Corporation |
| System Name | DESKTOP-18TA4NC |
| System Manufacturer | Dell Inc. |
| System Model | Inspiron 7570 |
| System Type | x64-based PC |
| System SKU | 07EA |
| Processor | Intel(R) Core(TM) i5-8250U CPU @ 1.60GHz, 1800 Mhz, 4 Core(s), 8 Logical Processor(s) |
| BIOS Version/Date | Dell Inc. 1.25.0, 7/13/2022 |
| SMBIOS Version | 3.0 |
| Embedded Controller Version | 255.255 |
| BIOS Mode | UEFI |
| BaseBoard Manufacturer | Dell Inc. |
| BaseBoard Product | 0RP6XK |
| BaseBoard Version | A00 |
| Platform Role | Mobile |
| Secure Boot State | On |
| PCR7 Configuration | Elevation Required to View |
| Windows Directory | C:\Windows |
| System Directory | C:\Windows\system32 |
| Boot Device | \Device\HarddiskVolume4 |
| Locale | United States |
| Hardware Abstraction Layer | Version = "10.0.19041.2728" |
| User Name | DESKTOP-18TA4NC\Dell |
| Time Zone | GMT Daylight Time |
| Installed Physical Memory (RAM) | 16.0 GB |
| Total Physical Memory | 15.8 GB |
| Available Physical Memory | 3.41 GB |

Figure 1: System Configuration

Due to less computational resources, the scripting of code made in the Google colab.

2.2 Software Configuration

The entire development was made on Google colab and the configuration manual as below:

- Google Colab- It is used as the primary GUI for creating proposed model architecture.
- Python- Python 3.8 version is used as a programming language.
- Libraries: Libraries used for the development are numpy, pandas, scikit-plot, matplotlib,scikit-learn, tensorflow, and seaborn.

3 Implementation

3.1 Data Source

The dataset is available on Kaggle website. This is a publicly available dataset which was introduced by Kaggle for a competition held in 2013.

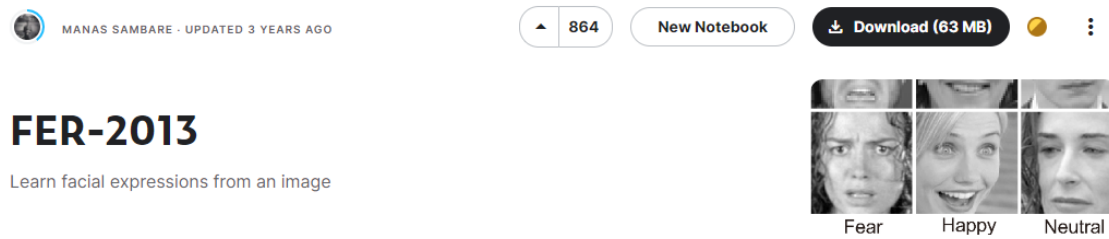


Figure 2: FER-2013 dataset

3.2 Importing required libraries

Importing required libraries

```
1 import math
2 import numpy as np
3 import pandas as pd
4
5 import scikitplot
6 import seaborn as sns
7 from matplotlib import pyplot
8
9 from sklearn.model_selection import train_test_split
10 from sklearn.preprocessing import LabelEncoder
11 from sklearn.metrics import classification_report
12
13 import tensorflow as tf
14 from tensorflow.keras import optimizers
15 from tensorflow.keras.datasets import mnist
16 from tensorflow.keras.models import Sequential
17 from tensorflow.keras.layers import Flatten, Dense, Conv2D, MaxPooling2D
18 from tensorflow.keras.layers import Dropout, BatchNormalization, LeakyReLU, Activation
19 from tensorflow.keras.callbacks import Callback, EarlyStopping, ReduceLROnPlateau
20 from tensorflow.keras.preprocessing.image import ImageDataGenerator
21
22 from keras.utils import np_utils
```

3.3 Mapping of emotion labels to corresponding text label

Mapping of emotion labels to corresponding text labels

```
1 emotion_label_to_text = {
2     0: 'anger',
3     1: 'disgust',
4     2: 'fear',
5     3: 'happiness',
6     4: 'sadness',
7     5: 'surprise',
8     6: 'neutral'
9 }
```

3.4 Relevant Data selection

```
1 # List of emotion labels of interest
2 INTERESTED_LABELS = [3, 4, 6]
3
4 # Filter the DataFrame to include only rows with specified emotion labels
5 df = df[df.emotion.isin(INTERESTED_LABELS)]
6
7 # Print the shape of the filtered DataFrame
8 df.shape # (21264, 3)
9
```

(21264, 3)

3.5 Preprocessing Images and Labels

```
1 # Preprocessing Images and Labels
2
3 # Convert the 'pixels' column of DataFrame into a 3D image array
4 img_array = df.pixels.apply(lambda x: np.array(x.split(' ')).reshape(48, 48, 1).astype('float32'))
5
6 # Stack the individual image arrays to create a 4D image array
7 img_array = np.stack(img_array, axis=0)
8
9 # Print the shape of the image array
10 print(img_array.shape) # Output: (21264, 48, 48, 1)
11
12 # Create a LabelEncoder instance to encode emotion labels
13 le = LabelEncoder()
14
15 # Transform emotion labels into numerical encoded labels
16 img_labels = le.fit_transform(df.emotion)
17
18 # Convert numerical encoded labels into categorical format
19 img_labels = np_utils.to_categorical(img_labels)
20
21 # Print the shape of the label array in categorical format
22 print(img_labels.shape) # Output: (21264, 3)
23
24 # Create a mapping of emotion labels to their encoded values
25 le_name_mapping = dict(zip(le.classes_, le.transform(le.classes_)))
26
27 # Print the mapping of emotion labels to encoded values
28 print(le_name_mapping) # Output: {3: 0, 4: 1, 6: 2}
29
```

3.6 Data Splitting

```
1 # Data Splitting and Memory Cleanup
2
3 # Split the data into training and validation sets
4 X_train, X_valid, y_train, y_valid = train_test_split(
5     img_array,
6     img_labels,
7     shuffle=True,
8     stratify=img_labels,
9     test_size=0.1,
10    random_state=42
11 )
12
13 # Clean up memory by deleting unnecessary variables
14 del df
15 del img_array
16 del img_labels
17
18 # Print the shapes of the training and validation sets
19 print(X_train.shape, X_valid.shape, y_train.shape, y_valid.shape)
20
```

(19137, 48, 48, 1) (2127, 48, 48, 1) (19137, 3) (2127, 3)

3.7 Data Normalization

```
1 # Normalizing arrays, as neural networks are very sensitive to unnormalized data.
2 # Data Normalization and Dimension Calculations
3
4 # Normalize image arrays to range [0, 1] for neural network
5 X_train = X_train / 255.
6 X_valid = X_valid / 255.
7
8 # Calculate dimensions and number of classes
9 img_width = X_train.shape[1] # Width of each image
10 img_height = X_train.shape[2] # Height of each image
11 img_depth = X_train.shape[3] # Depth (number of channels) of each image
12 num_classes = y_train.shape[1] # Number of emotion classes
13
```

3.8 Import Metrics for Evaluation

```
1 # TensorFlow Imports for Optimization and Metrics
2
3 # Import the TensorFlow library
4 import tensorflow as tf
5
6 # If needed, import a specific optimizer from Keras
7 # from tensorflow.keras.optimizers import your_optimizer_here
8
9 # Import specific metrics from Keras for model evaluation
10 from tensorflow.keras.metrics import AUC, Precision, Recall
11
```

4 Model and Layers Deployment

4.1 Model building and Adding Layers

```
1 # Build Deep Convolutional Neural Network (DCNN)
2
3 def build_net(optim):
4     # Create a Sequential model named 'DCNN'
5     net = Sequential(name='DCNN')
6
7     # Add first convolutional layer
8     net.add(
9         Conv2D(
10            filters=64,
11            kernel_size=(5,5),
12            input_shape=(img_width, img_height, img_depth),
13            activation='relu',
14            padding='same',
15            kernel_initializer='he_normal',
16            name='conv2d_1'
17        )
18    )
19    net.add(BatchNormalization(name='batchnorm_1'))
20
21    # Add second convolutional layer
22    net.add(
23        Conv2D(
24            filters=64,
25            kernel_size=(5,5),
26            activation='relu',
27            padding='same',
28            kernel_initializer='he_normal',
29            name='conv2d_2'
30        )
31    )
32    net.add(BatchNormalization(name='batchnorm_2'))
33
```

4.2 Adding Max Pooling and Dropout Layers

```
34 # Add max pooling and dropout layers
35 net.add(MaxPooling2D(pool_size=(2,2), name='maxpool2d_1'))
36 net.add(Dropout(0.4, name='dropout_1'))
37
38 # Continue adding convolutional layers
39 # ... (Repeat similar blocks for more layers)
40
41 # Flatten the output for fully connected layers
42 net.add(Flatten(name='flatten'))
43
44 # Add dense layers
45 net.add(
46     Dense(
47         128,
48         activation='relu',
49         kernel_initializer='he_normal',
50         name='dense_1'
51     )
52 )
53 net.add(BatchNormalization(name='batchnorm_7'))
54
```

4.3 Adding Additional Layer with Softmax activation

```
58 # Add output layer with softmax activation
59 net.add(
60     Dense(
61         num_classes,
62         activation='softmax',
63         name='out_layer'
64     )
65 )
66
67 # Compile the model with specified loss, optimizer, and metrics
68 net.compile(
69     loss='categorical_crossentropy',
70     optimizer=optim,
71     metrics=[
72         'accuracy',
73         tf.keras.metrics.AUC(),
74         tf.keras.metrics.Precision(),
75         tf.keras.metrics.Recall()
76     ]
77 )
78
79 # Print model summary
80 net.summary()
81
82 return net
83
```

4.4 Define Early Stopping and Learning Rate

```
1 # Define Early Stopping and Learning Rate Scheduling Callbacks
2
3 # Early Stopping callback to stop training when validation accuracy plateaus
4 early_stopping = EarlyStopping(
5     monitor='val_accuracy',
6     min_delta=0.00005,
7     patience=11,
8     verbose=1,
9     restore_best_weights=True,
10 )
11
12 # Learning Rate Reduction callback to adjust learning rate when improvement slows
13 lr_scheduler = ReduceLROnPlateau(
14     monitor='val_accuracy',
15     factor=0.5,
16     patience=7,
17     min_lr=1e-7,
18     verbose=1,
19 )
20
21 # List of callbacks to be used during model training
22 callbacks = [
23     early_stopping,
24     lr_scheduler,
25 ]
26
```

4.5 Data Augmentation Steps Followed

```
1 # Setting Up and Fitting Image Data Generator for Training Data Augmentation
2
3 # Create an ImageDataGenerator for training data augmentation
4 train_datagen = ImageDataGenerator(
5     rotation_range=15, # Random rotation up to 15 degrees
6     width_shift_range=0.15, # Random horizontal shift up to 15% of image width
7     height_shift_range=0.15, # Random vertical shift up to 15% of image height
8     shear_range=0.15, # Random shear transformation
9     zoom_range=0.15, # Random zoom in/out
10     horizontal_flip=True, # Randomly flip images horizontally
11 )
12
13 # Fit the data generator to the training data
14 train_datagen.fit(X_train)
15
```


4.6 Setting Up batch Size, Number of Epochs, and Optimizers

```
1 # Setting Up Batch Size, Number of Epochs, and Optimizers
2
3 # Batch size for training
4 batch_size = 32 # A batch size of 32 performs the best.
5
6 # Number of training epochs
7 epochs = 100
8
9 # List of optimizers to be tested
10 optims = [
11     optimizers.Nadam(
12         learning_rate=0.001,
13         beta_1=0.9,
14         beta_2=0.999,
15         epsilon=1e-07,
16         name='Nadam'
17     ),
18     optimizers.Adam(0.001),
19 ]
20
```

4.7 Compiling and Fiting Model

```
1 # Compiling and Fitting the Model
2
3 # Import Keras backend module
4 import tensorflow.keras.backend as K
5
6 # Build the neural network model using the selected optimizer
7 model = build_net(optims[1])
8
9 # Fit the model using a generator for training data and validation data
10 history = model.fit_generator(
11     train_datagen.flow(X_train, y_train, batch_size=batch_size), # Training data generator
12     validation_data=(X_valid, y_valid), # Validation data
13     steps_per_epoch=len(X_train) / batch_size, # Steps per epoch
14     epochs=epochs, # Number of epochs
15     callbacks=callbacks, # Callbacks for training
16     use_multiprocessing=True # Use multiprocessing for data Loading
17 )
18
```

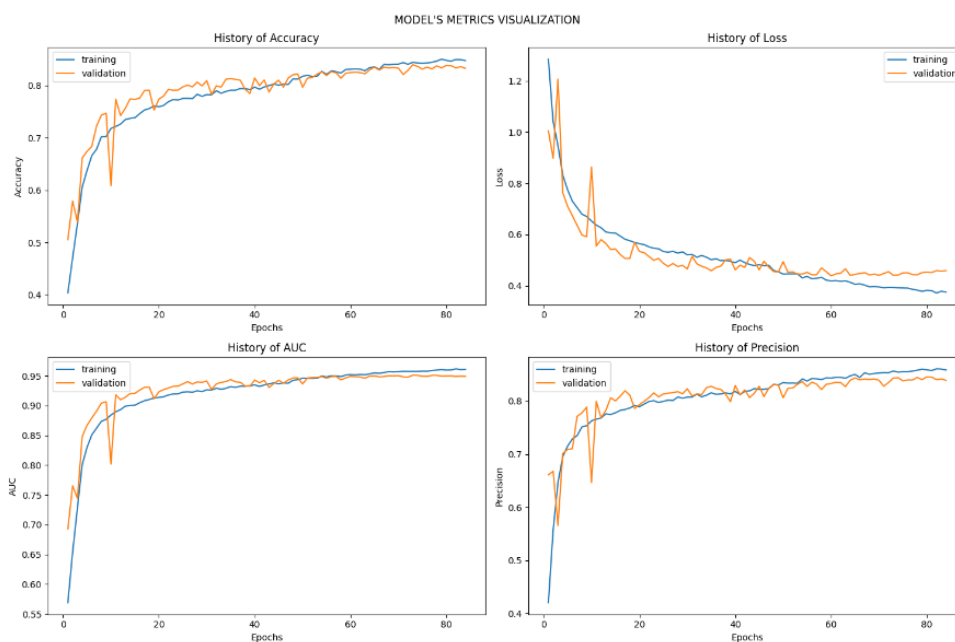
4.8 Save the Trained Model to a File for Deployment Use

```
1 # Save the Trained Model to a File
2
3 # Save the trained model to the specified file path
4 model.save("/content/drive/MyDrive/cnn_83_2.h5")
5
```

4.9 Functions used to Plot Training and Validate Metrics

```
1 # Function to Plot Training and Validation Metrics
2
3 def Train_Val_Plot(acc, val_acc, loss, val_loss, auc, val_auc, precision, val_precision):
4     # Create a 2x2 grid of subplots
5     fig, ((ax1, ax2), (ax3, ax4)) = plt.subplots(2, 2, figsize=(15, 10))
6     fig.suptitle("MODEL'S METRICS VISUALIZATION")
7
8     # Plot history of accuracy
9     ax1.plot(range(1, len(acc) + 1), acc)
10    ax1.plot(range(1, len(val_acc) + 1), val_acc)
11    ax1.set_title('History of Accuracy')
12    ax1.set_xlabel('Epochs')
13    ax1.set_ylabel('Accuracy')
14    ax1.legend(['training', 'validation'])
15
16    # Plot history of loss
17    ax2.plot(range(1, len(loss) + 1), loss)
18    ax2.plot(range(1, len(val_loss) + 1), val_loss)
19    ax2.set_title('History of Loss')
20    ax2.set_xlabel('Epochs')
21    ax2.set_ylabel('Loss')
22    ax2.legend(['training', 'validation'])
23
24    # Plot history of AUC
25    ax3.plot(range(1, len(auc) + 1), auc)
26    ax3.plot(range(1, len(val_auc) + 1), val_auc)
27    ax3.set_title('History of AUC')
28    ax3.set_xlabel('Epochs')
29    ax3.set_ylabel('AUC')
30    ax3.legend(['training', 'validation'])
31
32    # Plot history of Precision
33    ax4.plot(range(1, len(precision) + 1), precision)
34    ax4.plot(range(1, len(val_precision) + 1), val_precision)
35    ax4.set_title('History of Precision')
36    ax4.set_xlabel('Epochs')
37    ax4.set_ylabel('Precision')
38    ax4.legend(['training', 'validation'])
39
40    # Adjust layout and display the plot
41    plt.tight_layout()
42    plt.show()
43
44    # Call the function with appropriate metric values
45    Train_Val_Plot(history.history['accuracy'], history.history['val_accuracy'],
46                  history.history['loss'], history.history['val_loss'],
47                  history.history['auc'], history.history['val_auc'],
48                  history.history['precision'], history.history['val_precision'])
49
```

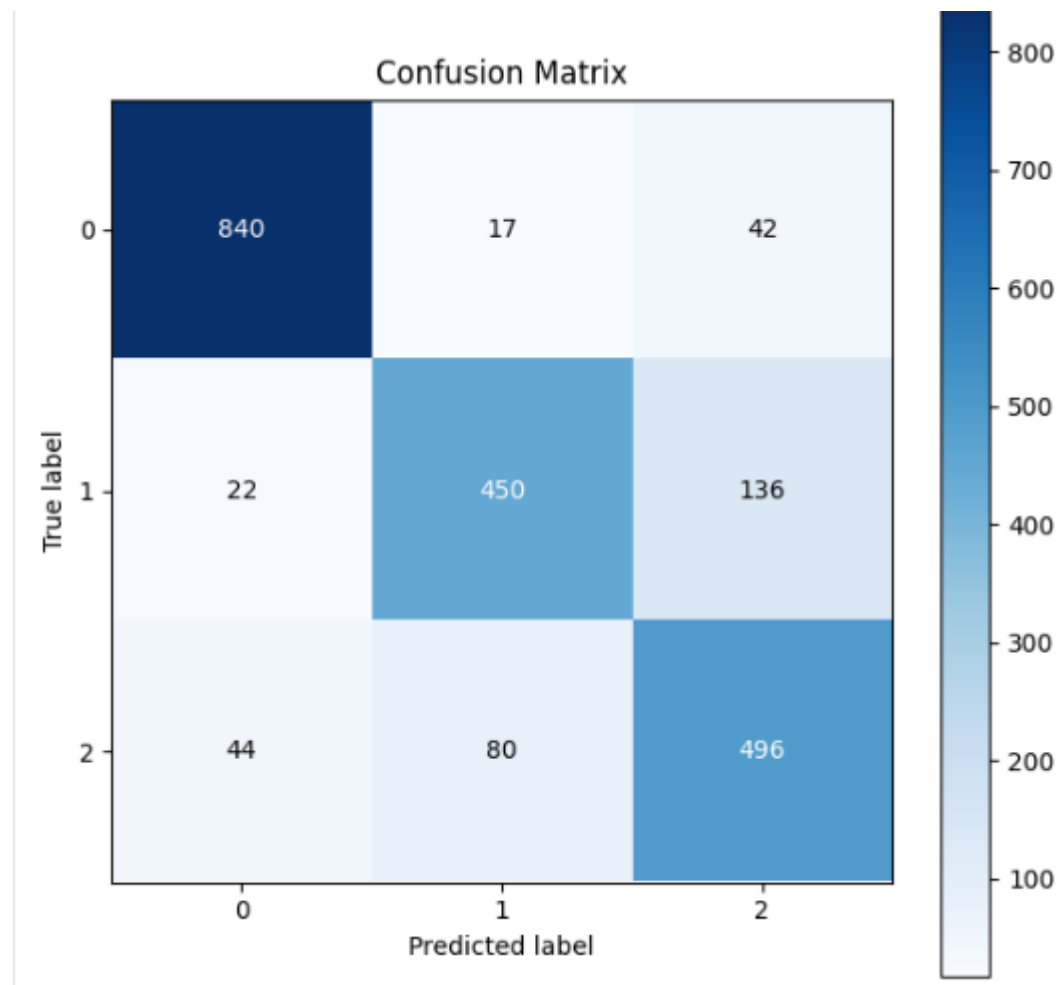
5 Results



5.1 Calculate and Visualize Confusion Matrix

```
1 # Calculate and Visualize Confusion Matrix
2 yhat_valid_probs = model.predict(X_valid) # Predicted probability scores for each class
3 yhat_valid_labels = np.argmax(yhat_valid_probs, axis=1) # Convert probabilities to class labels
4
5 # Use scikit-plot to plot the confusion matrix
6 scikitplot.metrics.plot_confusion_matrix(np.argmax(y_valid, axis=1), yhat_valid_labels, figsize=(7, 7))
7 pyplot.savefig("confusion_matrix_dcnn.png")
8
9 # Calculate and print the total number of wrong validation predictions
10 print(f'total wrong validation predictions: {np.sum(np.argmax(y_valid, axis=1) != yhat_valid_labels)}\n\n')
11
12 # Print the classification report for evaluation metrics
13 print(classification_report(np.argmax(y_valid, axis=1), yhat_valid_labels))
14
```

5.2 Confusin Matrix



6 Visualization of Random Sad and Neutral Images with Predictions

```

1 # Visualization of Random Sad and Neutral Images with Predictions
2
3 # Map class indices to labels
4 mapper = {
5     0: "happy",
6     1: "sad",
7     2: "neutral",
8 }
9
10 # Set random seed for reproducibility
11 np.random.seed(2)
12
13 # Choose random sad and neutral images for visualization
14 random_sad_imgs = np.random.choice(np.where(y_valid[:, 1]==1)[0], size=9)
15 random_neutral_imgs = np.random.choice(np.where(y_valid[:, 2]==1)[0], size=9)
16
17 # Create a figure for visualization
18 fig = pyplot.figure(1, (18, 4))
19
20 # Loop through randomly selected images
21 for i, (sadidx, neuidx) in enumerate(zip(random_sad_imgs, random_neutral_imgs)):
22     ax = pyplot.subplot(2, 9, i+1)
23     sample_img = X_valid[sadidx, :, :0]
24     ax.imshow(sample_img, cmap='gray')
25     ax.set_xticks([])
26     ax.set_yticks([])
27     predicted_class = np.argmax(model.predict(sample_img.reshape(1, 48, 48, 1)))
28     ax.set_title(f"true:sad, pred:{mapper[predicted_class]}")
29
30     ax = pyplot.subplot(2, 9, i+10)
31     sample_img = X_valid[neuidx, :, :0]
32     ax.imshow(sample_img, cmap='gray')
33     ax.set_xticks([])
34     ax.set_yticks([])
35     predicted_class = np.argmax(model.predict(sample_img.reshape(1, 48, 48, 1)))
36     ax.set_title(f"t:neut, p:{mapper[predicted_class]}")
37
38 # Adjust layout and display the visualization
39 pyplot.tight_layout()
40

```

6.1 Result and Challenged Faced Visual Discription



6.2 Model Architecture

Model: "DCNN"

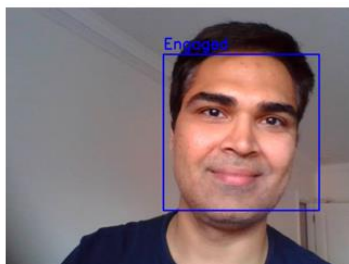
| Layer (type) | Output Shape | Param # |
|-----------------------------------|---------------------|---------|
| conv2d_1 (Conv2D) | (None, 48, 48, 64) | 1664 |
| batchnorm_1 (Batch Normalization) | (None, 48, 48, 64) | 256 |
| conv2d_2 (Conv2D) | (None, 48, 48, 64) | 102464 |
| batchnorm_2 (Batch Normalization) | (None, 48, 48, 64) | 256 |
| maxpool2d_1 (Max Pooling 2D) | (None, 24, 24, 64) | 0 |
| dropout_1 (Dropout) | (None, 24, 24, 64) | 0 |
| conv2d_3 (Conv2D) | (None, 24, 24, 128) | 73856 |
| batchnorm_3 (Batch Normalization) | (None, 24, 24, 128) | 512 |
| conv2d_4 (Conv2D) | (None, 24, 24, 128) | 147584 |
| batchnorm_4 (Batch Normalization) | (None, 24, 24, 128) | 512 |
| maxpool2d_2 (Max Pooling 2D) | (None, 12, 12, 128) | 0 |
| dropout_2 (Dropout) | (None, 12, 12, 128) | 0 |
| conv2d_5 (Conv2D) | (None, 12, 12, 256) | 295168 |
| batchnorm_5 (Batch Normalization) | (None, 12, 12, 256) | 1024 |
| conv2d_6 (Conv2D) | (None, 12, 12, 256) | 590080 |
| batchnorm_6 (Batch Normalization) | (None, 12, 12, 256) | 1024 |
| maxpool2d_3 (Max Pooling 2D) | (None, 6, 6, 256) | 0 |
| dropout_3 (Dropout) | (None, 6, 6, 256) | 0 |
| flatten (Flatten) | (None, 9216) | 0 |
| dense_1 (Dense) | (None, 128) | 1179776 |
| batchnorm_7 (Batch Normalization) | (None, 128) | 512 |
| dropout_4 (Dropout) | (None, 128) | 0 |
| out_layer (Dense) | (None, 3) | 387 |

 Total params: 2,395,075
 Trainable params: 2,393,027
 Non-trainable params: 2,048

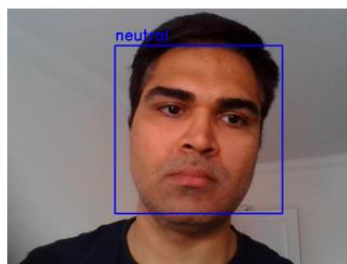
6.3 Webcam-based Engagement Detection System

```
1 from tensorflow.keras.models import load_model
2 import matplotlib.pyplot as plt
3 from keras.models import Sequential
4 from keras.layers import Conv2D, MaxPooling2D, Activation, Dropout, Flatten, Dense
5 from keras.preprocessing.image import ImageDataGenerator
6 #import matplotlib.pyplot as plt
7 from PIL import Image
8 import tensorflow as tf
9 from glob import glob
10 from sklearn.metrics import classification_report
11 from tensorflow.keras.preprocessing.image import load_img
12 from tensorflow.keras.utils import img_to_array
13 import numpy as np
14
15 model = tf.keras.models.load_model(r'F:\NCI\Sem 3\Web\cnn_83_2.h5')
16 # Load the Haar Cascade for face detection
17 import cv2
18 face_haar_cascade = cv2.CascadeClassifier(cv2.data.harcascades + 'haarcascade_frontalface_default.xml')
19
20 cap = cv2.VideoCapture(0)
21
22 while cap.isOpened():
23     ret, frame = cap.read()
24     if not ret:
25         break
26
27     gray_image = cv2.cvtColor(frame, cv2.COLOR_BGR2GRAY)
28     faces = face_haar_cascade.detectMultiScale(gray_image, scaleFactor=1.1, minNeighbors=5, minSize=(30, 30))
29
30     for (x, y, w, h) in faces:
31         cv2.rectangle(frame, (x, y), (x+w, y+h), (255, 0, 0), 2)
32         roi_gray = gray_image[y:y+h, x:x+w]
33         roi_gray = cv2.resize(roi_gray, (48, 48))
34         image_pixels = img_to_array(roi_gray)
35         image_pixels = np.expand_dims(image_pixels, axis=0)
36         image_pixels /= 255.0 # Normalize pixel values
37         predictions = model.predict(image_pixels)
38         max_index = np.argmax(predictions[0])
39         emotion_detection = ('Engaged', 'Not Engaged', 'neutral')
40         emotion_prediction = emotion_detection[max_index]
41
42         # Display emotion text on the frame
43         cv2.putText(frame, emotion_prediction, (x, y-10), cv2.FONT_HERSHEY_SIMPLEX, 0.9, (255, 0, 0), 2)
44
45     # Display the frame
46     cv2.imshow('Emotion Detection', frame)
47
48     if cv2.waitKey(1) & 0xFF == ord('q'):
49         break
50
51 # Release the capture and close all windows
52 cap.release()
53 cv2.destroyAllWindows()
54
```

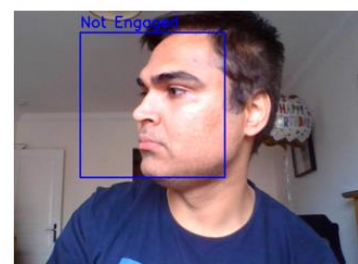
6.4 Webcam-based Engagement Detection System Result in Multi-Class Classification



"Engaged"



"Neutral"



"Not Engaged"