

Configuration Manual

MSc Research Project Data Analytics

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MSc Project Submission Sheet

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Programme:	MSc in Data Analytics Year:2023	
Module:	MSc in Research Project	
Supervisor: Submission Due Date:	Prashanth Nayak	
	15 December 2022	
Project Title:	Enhancing the Classification Accuracy of intrusion Detection system using Auto-encoder Algorithm	
Word Count:		

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Configuration Manual

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1 Introduction

The Configuration Manual lists all the parameters and configurations that were used during this research, including installation details and prerequisites. The handbook contains a step-by-step explanation of how to run the application.

2 System Configuration

In the system configuration section details about the system requirements and software required for the implementation has been discussed.

2.1 Hardware Requirement

Operating System Windows 10Installed RAM16.0 GBSystem type64-bit operating system, x64-based processorPen and touchPen and touch support with 10 touch points

2.2 Software Requirement

The open-source IDE Jupyter Notebook, which is accessible through the Anaconda Software, was used in this research project. This environment is based on a Python Module. Installing each of these packages is necessary before the project can be built.

3 Installation and Environment Setup

3.1 Python

In this research project python package was used. Since most of the Deep Learning and machine learning projects are supported by its numerous built-in libraries. With a variety of plots, it makes developing and analysing models easier. Installing the most recent version of a python on the machine is the first prerequisite. The package installer can be downloaded from the website using your browser depending on your operating system. Enter "python-version" in the command prompt to confirm that python has been successfully installed from the website after installation, as shown in the below figure.



Figure: Python Website Page

3.2 Anaconda

The anaconda package includes several IDE that are helpful for writing code and analysing outputs from python packages. Anaconda Navigator has a wide variety of IDEs available, the model in this project is constructed in Jupyter Notebook.

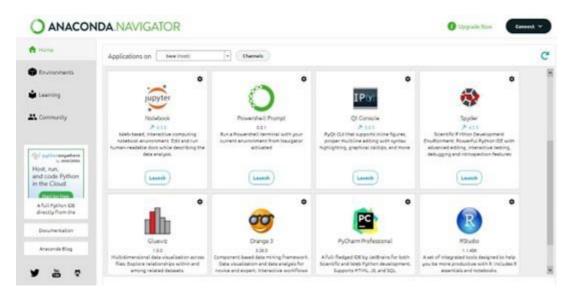


Figure: Anaconda Navigator

3.3 Jupyter Notebook

Jupyter notebook and its tasks are launched in browser tabs from the anaconda navigator. Python notebooks are first created and saved in the .ipynb format. Using the pip command, the python libraries are installed during the execution of code. The libraries numpy, pandas, tensorflow, matplotlib, seaborn, and plotly are the required libraries in this project.

4 Data Collection

There are datasets in this project and have been taken from an open-source website, Kaggle. The next sections are split into two sections, one for the KDD-CUP dataset and then for the IDS-CSECIC dataset.

5 Implementation of CSE-CIC-IDS2018 dataset

5.1 Importing Libraries

For the implementation of the model, the required libraries must be imported for a smooth execution. Below figure shows the imported libraries for our study.

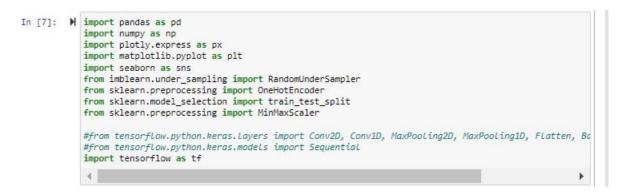


Figure : Importing Required Libraries

5.2 Data Visualization

The data in the dataset is being visualized using various graphs. The below figures show the visualization of data to get a better understanding on the data.

```
px.pie(names = data.Label.value_counts().index, values=data.Label.value_counts().values,hole=0.5,title="Label Distribution")
```

Figure: Pie chart to see the distribution of data

5.3 Data Pre-processing

In the data pre-processing, the null values in the data are being dropped, then the data has been formatted., along with unnecessary columns has been dropped. The below figure shows the part of the code where the data is being pre-processed.

```
M data.replace([np.inf, -np.inf], np.nan, inplace=True)
data.dropna(inplace=True)

M data["Hour'] = pd.to_datetime(data.Timestamp).dt.hour
data.drop("Timestamp",axis=1,inplace=True)

M labels = data.Label
data.drop(["Protocol","PSH Flag Cnt","Init Fwd Win Byts","Flow Byts/s", "Flow Pkts/s", "Label"],axis=1,inplace=True)

M under_sampling = RandomUnderSampler()
features = data.values
features,labels = under_sampling.fit_resample(features,labels)
```

Figure: Data Pre-processing

5.4 Splitting of Train and Test Data

The dataset must be divided into training and validation of data so that the model can be developed. The below figure shows how the data is divided into train and test phase.

```
X_train,X_test,Y_train,Y_test = train_test_split(features,labels,test_size=0.25)

N n_steps= 1
epochs= 15
verbose = 1
batch_size = 64
n_features = X_train.shape[1]
n_outputs = Y_train.shape[1]
x = [i for i in range(1,epochs+1)]
```

Figure: Splitting of Train and Test data

5.5 Model Training

.

In model training, four models have been used one is Autoencoder, CNN, LSTM and Conv-LSTM. The below figures show the implementation of models.

```
HautoEncoder Model
def AutoEncoderModel(n_steps,n_features,n_outputs):
def AutoEncoderModel(n_steps,n_features,n_outputs):
model = tf.keras.models.Sequential(name = 'CIN')
model.add(tf.keras.layers.Input(shape=(n_steps, n_features)))
model.add(tf.keras.layers.Input(shape=(n_steps, n_features)))
# Encoder Layer is avers.ConvlD(filters=n_features*4, kernel_size=1, activation='relu'))
# Encoder Layer 3
model.add(tf.keras.layers.ConvlD(filters=n_features*2, kernel_size=1, activation='relu'))
# BottleekeR
model.add(tf.keras.layers.ConvlD(filters=n_features*2, kernel_size=1, activation='relu'))
model.add(tf.keras.layers.ConvlD(filters=n_features*2, kernel_size=1, activation='relu'))
# BottleekeR
model.add(tf.keras.layers.ConvlD(filters=n_features, kernel_size=1, activation='relu'))
model.add(tf.keras.layers.ConvlD(filters=n_features, kernel_size=1, activation='relu'))
# Decoder Layer 1
model.add(tf.keras.layers.ConvlDTranspose(filters=n_features*2, kernel_size=1, activation='relu'))
# Decoder Layer 2
model.add(tf.keras.layers.ConvlDTranspose(filters=n_features*2, kernel_size=1, activation='relu'))
# Classification Layer
model.add(tf.keras.layers.ConvlDTranspose(filters=n_features*4, kernel_size=1, activation='relu'))
# Classification Layer
model.add(tf.keras.layers.ConvlDTranspose(filters=n_features*4, kernel_size=1, activation='relu'))
# Classification Layer
model.add(tf.keras.layers.flatten())
```

Figure: Autoencoder Model

CNN Model

```
M def CNNmodel(n_steps,n_features,n_outputs):
    model = tf.keras.models.Sequential(name = 'CNN')
    model.add(tf.keras.layers.Input(shape=(n_steps, n_features)))
    model.add(tf.keras.layers.Conv1D(filters=12, kernel_size=1, activation='relu'))
    model.add(tf.keras.layers.Conv1D(filters=8, kernel_size=1, activation='relu'))
    model.add(tf.keras.layers.Flatten())
    model.add(tf.keras.layers.Dense(128,activation='relu'))
    model.add(tf.keras.layers.Dense(128,activation='relu'))
    model.add(tf.keras.layers.Dense(n_outputs,"softmax"))
    model.compile(optimizer="adam",loss="categorical_crossentropy",metrics=["accuracy",precision,recall])
    print(model.summary())
    return model
```

M model = CNNmodel(n_steps, n_features,n_outputs) history_cnn = model.fit(X_train,Y_train,validation_data = (X_test,Y_test),epochs = epochs)

Figure: CNN model

LSTM Model

```
H
def LSTMmodel(n_steps,n_features,n_outputs):
    model = tf.keras.models.Sequential(name = 'LSTM')
    model.add(tf.keras.layers.Input(shape=(n_steps, n_features)))
    model.add(tf.keras.layers.LSTM(12, activation='relu', return_sequences=True))
    model.add(tf.keras.layers.LSTM(8, activation='relu'))
    model.add(tf.keras.layers.Dense(3, activation='relu'))
    model.compile(optimizer="adam",loss="categorical_crossentropy",metrics=["accuracy",precision,recall])
    print(model.summary())
    return model

K
LSTMclassifier =LSTMmodel(n_steps, n_features,n_outputs)
    history_LSTM = LSTMclassifier.fit(X_train, Y_train,validation_data=(X_test,Y_test), epochs=epochs, verbose=verbose,batch_size
```

Figure: LSTM model



Figure: Convolutional LSTM model

5.6 Performance Analysis

The below code shows the performance of the models. It shows the accuracy, loss, precision and recall.



Figure: Evaluation metrics

plot_accuracy(x,history_enc,history_cnn,history_LSTM,history_CONV1stm)
plot_loss(x,history_enc,history_cnn,history_LSTM,history_CONV1stm)
plot_precision(x,history_enc,history_cnn,history_LSTM,history_CONV1stm)
plot_recall(x,history_enc,history_cnn,history_LSTM,history_CONV1stm)

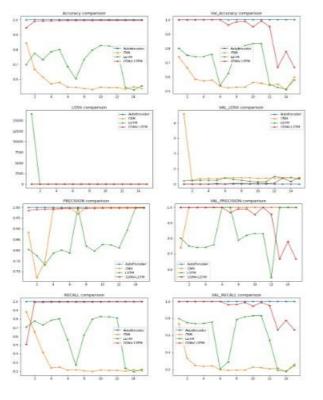


Figure: Plotting the graphs

Figure: Performance Results

6 Implementation of NSL-KDD-CUP-99 dataset

6.1 Importing Libraries

Firstly, libraries need to be downloaded into the working environment for better execution of the model. Below figure shows the imported libraries for this dataset.

```
M import pandas as pd
from sklearn.preprocessing import LabelEncoder,OneHotEncoder
import sys
import numpy as np
from sklearn import preprocessing
from sklearn.decomposition import PCA
from sklearn.model_selection import train_test_split
import matplotlib.pyplot as plt
from sklearn.metrics import confusion_matrix
import seaborn as sns
import plotly.express as px
from sklearn.decomposition import PCA
from sklearn.decomposition import PCA
from imblearn.under_sampling import RandomUnderSampler
from sklearn.preprocessing import MinMaxScaler
```

Figure: Importing libraries

6.2 Data Distribution

The below figures show the distribution of data, classification of data, dropping null values and replacing label types.

```
In [9]: ▶ print('Label distribution Data :')
            print(data['label'].value_counts())
             Label distribution Data :
             smurf.
                                  701913
             neptune.
                                  268254
             normal.
                                  243113
             satan.
                                   19795
             ipsweep.
                                   15587
             portsweep.
                                   12978
             nmap.
                                    2885
             warezclient.
                                    1281
             back.
                                     563
             teardrop.
                                     257
             pod.
                                      68
             guess_passwd.
                                      65
             buffer_overflow.
                                      35
             warezmaster.
                                      25
             imap.
                                      15
             rootkit.
                                      12
            ftp_write.
loadmodule.
                                      10
                                      10
```

Figure: Data Distribution

```
In [10]: H # renaming attack types
                     label_types = {
    'normal': 'normal',
                      'back': 'dos',
'buffer_overflow': 'u2r',
                      'ftp_write': 'r21'
                     'ftp_write': 'r2l',
'guess_passwd': 'r2l',
'imap': 'r2l',
'ipsweep': 'probe',
'land': 'dos',
'loadmodule': 'u2r',
'multihop': 'r2l',
'neptune': 'dos',
                      'nmap': 'probe',
'perl': 'u2r',
'phf': 'r21',
'pod': 'dos',
'portsweep': 'probe',
                      'rootkit': 'u2r',
                      'satan': 'probe',
'smurf': 'dos',
                      'spy': 'r21',
'teardrop': 'dos',
                      'warezclient': 'r2l',
                      'warezmaster': 'r21',
                            - }
                      # Dropping null values
                      data.dropna(inplace=True,axis=1)
                      #Replacing Label types
                      data['label'] = data.label.apply(lambda r:label_types[r[:-1]])
```

Figure: Classifying the data

6.3 Data Visualization

4

The below figures show how the data is visualized.

```
In [13]: M counts = data.label.value_counts()
             px.pie(data,names=counts.index,values = counts.values,title="Label Visualisation")
                                            Figure: Label count
In [14]: ▶ print('Categorical Features in Data :')
              for col_name in data.columns:
                  if data[col_name].dtypes == 'object' :
                      unique_cat = len(data[col_name].unique())
                      print("Feature '{col_name}' has {unique_cat} categories".format(col_name=col_name, unique_cat}
              ∢.∥
              Categorical Features in Data :
              Feature 'protocol_type' has 3 categories
              Feature 'service' has 69 categories
Feature 'flag' has 11 categories
              Feature 'label' has 5 categories
                                            Figure: Categorical Features in Data
In [15]: M print('Distribution of categories in service:
              counts = data['service'].value_counts().head(10)
```

Figure: Distribution of Categories in Service

px.bar(x=counts.index,y = counts.values,color = counts.values,title="service feature Visualisation

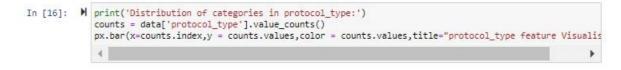


Figure: Distribution of Categories in protocol type

6.4 Data Pre-processing

The below figures show the data pre-processing.

Data Preprocessing

```
In [11]: M cols_to_drop = []
             # Checking if any feature has just one unique value
             for col in data.columns:
                 if len(data[col].value_counts().index) < 2 :</pre>
                     cols_to_drop.append(col)
             print("Columns having only 1 unique value : ",cols_to_drop)
             data.drop(cols_to_drop,axis=1,inplace=True)
             Columns having only 1 unique value : ['num_outbound_cmds', 'is_host_login']
```

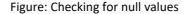
Figure: Checking for unique values

In [14]: M data.label.value_counts()

Out[14]: dos 971058 normal 243113 51245 probe 1412 r21 u2r 60 Name: label, dtype: int64

Figure: Label value count

```
, uty;
[17]: M data.isna().sum()
Out[17]: duration
    protocol_type
    service
    fing
    src_bytes
    data.isna().sum()
    unt[17]: duration
    protocol_type
    service
    fing
    src_bytes
    land
    wrong_fragment
    urgent
    hot
    num_failed_logins
    loged_in
    num_root
    num_root
    num_shelis
    num_root
    sry_serror_rate
    sry_serror_rate
    sry_serror_rate
    sry_serror_rate
    sry_serror_rate
    sry_reror_rate
    sry_serror_rate
    sry_fif_host_rate
    dst_host_serv_set
    dst_host_serv_set
    dst_host_serv_rate
    dst_host_serv_rate
    dst_host_serror_rate
    dst_host_seror_rate
    dst_host_serror_rate
    dst_host_seror_rate
    d
n [17]: 🕨 data.isna().sum()
```



6.5 Model Comparison

Four models have been used for the implementation of dataset. They are Autoencoder, CNN, LSTM and Conv-LSTM. The below figures show the models implementation.

```
In [29]: 🔰 #AutoEncoder Model
              def AutoEncoderModel(n_steps,n_features,n_outputs):
                  model = tf.keras.models.Sequential(name = 'CNN')
                   model.add(tf.keras.layers.Input(shape=(n_steps, n_features)))
                  # Enc
                  model.add(tf.keras.layers.Conv1D(filters=n_features*4, kernel_size=1, activation='relu'))
                  # End
                  model.add(tf.keras.layers.Conv1D(filters=n_features*2, kernel_size=1, activation='relu'))
                  # Enc
                  model.add(tf.keras.layers.Conv1D(filters=n_features, kernel_size=1, activation='relu'))
                  # BottleNeck
                  model.add(tf.keras.layers.BatchNormalization())
model.add(tf.keras.layers.Flatten())
                  model.add(tf.keras.layers.Dense(n_features,activation='relu'))
                  model.add(tf.keras.layers.Reshape((n_steps,n_features)))
                  # Decoder Layer 1
                  model.add(tf.keras.layers.Conv1DTranspose(filters=n_features,kernel_size=1, activation='relu'))
                  # Dec
                  model.add(tf.keras.layers.Conv1DTranspose(filters=n_features*2,kernel_size=1, activation='relu'))
# Decoder Layer 3
                  model.add(tf.keras.layers.Conv1DTranspose(filters=n_features*4,kernel_size=1, activation='relu'))
                  # Classification Layer
model.add(tf.keras.layers.Flatten())
                  model.add(tf.keras.layers.Dense(n_outputs,"softmax"))
                  model.compile(optimizer="adam",loss="categorical_crossentropy",metrics=["accuracy",precision,recall])
                  print(model.summary())
                  return model
```

Figure: Autoencoder Model



Figure: CNN model

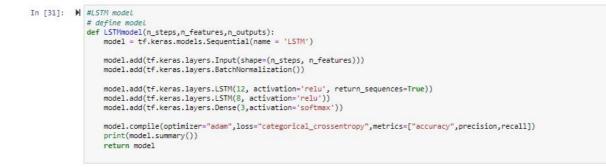


Figure: LSTM model



Figure: Convolutional LSTM model

6.6 Performance Analysis

The below codes show the end results of the data. It shows the accuracy, precision, loss and recall.

Model Comparison

```
# ef pld:_coresy(s,history_con,history_con,history_conv):
pl:.Tgume('sgizes(15,5))
pl:.tgu
```

Figure: Evaluation metrics

In [37]: N plot_accuracy(x,history_enc,history_LSTM,history_COWlstm)
plot_loss(x,history_enc,history_LSTM,history_COWlstm)
plot_precision(x,history_enc,history_LSTM,history_COWlstm)
plot_recall(x,history_enc,history_cnn,history_LSTM,history_COWlstm)

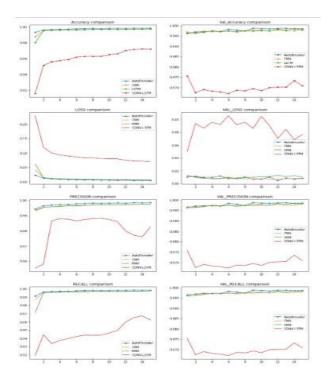


Figure: Plotting the graphs

Figure: Performance Results