

# **Configuration Manual**

MSc Research Project Data Analytics

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#### **MSc Project Submission Sheet**



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# **Configuration Manual**

# Mayuresh Londhe Student ID: X20137265

# **1** Introduction

This Configuration Manual consists of all the perquisites required to reproduce the research and its outcomes on individual environment. The software and the hardware requirement along with a snapshot of code for Data Import and Pre-processing, Data Augmentation, Exploratory Data Analysis, all the models-built K- Fold Cross Validation and Evaluation are included.

The structure of the report is as follows, Section 2, gives the information about environment configuration. Section 3, provides detail about data collection. Section 4 is data exportation consists of Data Pre-processing and Exploratory Data Analysis. Data Augmentation is explained in section 5. Section 6 provides the details about Data splitting to get equal images in each class for training, validation and testing phase, data transformations and feature label separation performed. Section 7 provides the details about the models built. Section 8, explains the implementation of K-Fold Validation. Section 9, explains how results are computed and visualized.

# 2 Environment

This section provides the details of Software and Hardware requirements to implement the research done.

### 2.1 Hardware Requirements

Below Figure 1, provides the hardware specifications required. Intel i5-1135G7 is the 11<sup>th</sup> Generation Intel Core CPU @ 2.42 GHz, 16 GB installed DDR4 RAM Memory at speed of 3200 Mhz, 64 Bit Windows 10 operating System, 512 GB SSD.

View basic information	about your computer	
Windows edition Windows 10 Home Single © Microsoft Corporation. /	Language NI rights reserved.	Windows 10
System Processor: Installed memory (RAM): System type: Pen and Touch:	11th Gen Intel(R) Core(TM) I5-1135G7 @ 2.40GHz 2.42 GHz 16.0 G8 (15.8 GB usable) 64-bit Operating System, x64-based processor No Pen or Touch Input is available for this Display	Support Information
Computer name, domain, and Computer name: Full computer name: Computer description: Workgroup:	workgroup settings LAPTOP- GRIV9Q59 LAPTOP- GRIV9Q59 WORKGROUP	Change settings
Windows activation Windows is activated Rea Product ID: 00327-35926-0	d the Microsoft Software License Terms 4169-AAOEM	Change product key

**Figure 1: Hardware Requirements** 

### 2.2 Software Requirements

- Anaconda Navigator for Windows (Version 1.9.12)
- Jupyter Notebook (Version 6.0.3)
- Python (Version 3.8.3)

# **3** Data Collection

The dataset is taken from Kaggle public repository. <u>https://www.kaggle.com/jr2ngb/cataractdataset</u> is the link for the dataset. There are 601 images in dataset there is one extra image in the Glaucoma class which is removed therefore there would 300 images in Normal and 100 images each in Cataract, Glaucoma and Retina diseases class. Link given below can also be used.

```
https://studentncirl-
my.sharepoint.com/:f:/g/personal/x20137265_student_ncirl_ie/EtKIBNkXNIIOI7rKmHSqc30BbycJ4
0sThYMa2yjvzK9ufA?e=9iLT3F
```

# **4** Data Exportation

### 4.1 Importing the Libraries

All the Python libraries required to implement the entire project are listed in Figure 2.

#### **Imported Libraries**



**Figure 2: Required Python Libraries** 

### 4.2 Exploratory Data Analysis

#### 4.2.1 To Check Individual Image Size

The Figure 3 and 4 represents the code to visualize and count the number of images for each size. There are 3 different size images are available (1632 x 2464), (1224 x 1848) and (1728 x 2592).



Figure 3: EDA for Checking Individual Image Size

<pre>print(count_1,count_2)</pre>
158 403 40
<pre>sns.set_style('darkgrid')</pre>
<pre>sns.countplot(size_count,palette='viridis')</pre>

Figure 4: Print the Count and Visualization

### 4.3 Data Pre-processing

As seen in Figure 3 there are 158 that have (1632 x 2464) size, 403 images with (1728 x 2592) size and 40 images with size (1224 x 1848). Here the (1224 x 1848) and (1632 x 2464) images are converted to (1728 x 2592). The Figure 5, illustrate the code to convert all the images to one size. Here, original dataset path need to be provided along with that need to create new folder with name dataset\_all\_equal\_size\_images which contains four empty folders with the name 1\_normal, 2\_cataract,3\_glaucoma and 4\_retina\_diseases. Path of these four folders also used to store the resized Images.

<pre>dataset_folder_path="dataset/folder_path" folder= ['1_normal','2_cataract','3_glaucoma','4_retina_disease']</pre>
height=1728 width=2592
<pre>store_path_1="dataset_all_equal_size_image/1_normal" store_path_2="dataset_all_equal_size_image/2_cataract" store_path_3="dataset_all_equal_size_image/3_glaucoma" store_path_4="dataset_all_equal_size_image/4_retina_disease"</pre>
<pre>for folder in folder:     path_dataset_join =os.path.join(dataset_folder_path, folder)     for img in os.listdir(path_dataset_join):         image= cv2.imread(os.path.join(path_dataset_join, img))         image_name= img</pre>
<pre>if folder == '1_normal':</pre>
if (image.shape == (1632, 2464, 3)):
<pre>image=cv2.resize(image, (width,height))</pre>
<pre>cv2.imwrite(os.path.join(store_path_1,image_name),image)</pre>
<pre>elif (image.shape == (1728, 2592, 3)):     cv2.imwrite(os.path.join(store_path_1,image_name),image)</pre>
<pre>elif (image.shape == (1224, 1848, 3)): image=cv2.resize(image, (width,height)) cv2.imwrite(os.path.join(store_path_1,image_name),image)</pre>
<pre>elif folder == '2_cataract':</pre>
<pre>if (image.shape == (1632, 2464, 3)):     image-cv2.resize(image, (width,height))     cv2.imwrite(os.path.join(store_path_2,image_name),image)</pre>
<pre>elif (image.shape == (1728, 2592, 3)):     cv2.imwrite(os.path.join(store_path_2,image_name),image)</pre>
<pre>elif (image.shape == (1224, 1848, 3)): image=cv2.resize(image, (width,height)) cv2.imwrite(os.path.join(store_path_2,image_name),image)</pre>



all equal size images : <u>https://studentncirl-</u> my.sharepoint.com/:f:/g/personal/x20137265\_student\_ncirl\_ie/EvAXoG3kxslOksAk3-HU01UBdAYWLYXOZNM7CxiA-HbIUQ?e=ViIG7T

# **5** Data Augmentation:

To accomplish the class balance across all labels. The images of the Cataract, Glaucoma and Retina Diseases need to be augmented using horizontal filliping and horizontally and vertically together. To achieve this, need to create a copy of the dataset\_all\_equal\_size\_images dataset and rename it as all\_equal\_300\_images. File path of the copied and renamed dataset along with 3 folder names for which images need to augmented is passed.

```
folder= ['2_cataract','3_glaucoma','4_retina_disease']
dataset_folder_path= "dataset/all_equal_300_images"
for folder in folder:
    path_dataset_join =os.path.join(dataset_folder_path, folder)
    for img in os.listdir(path_train_AUG):
        image= cv2.imread(os.path.join(path_dataset_join, img))
        image_name= img
        image_flipped_vertically= cv2.flip(image, 1)
        cv2.imwrite(os.path.join(path_dataset_join,image_name + '_image_flipped_vertically.png'),image_flipped_vertically
        image_flipped_horizontally_vertically= cv2.flip(image, -1)
        cv2.imwrite(os.path.join(path_dataset_join,image_name + '_image_flipped_horizontally_vertically.png'),image_fli
```

Figure 6: Code to Perform Data Augmentation

After this process, 3 datasets will be present original dataset, dataset\_all\_equal\_size\_images dataset that would be used for implementing the models on imbalanced dataset and all\_equal\_300\_images will be used as a balanced dataset.

all equal 300 images: <u>https://studentncirl-</u> my.sharepoint.com/:f:/g/personal/x20137265\_student\_ncirl\_ie/Eg7mkMbUkgFKiPsNsBfMXUQBG8 tTdqNX5Fhzc\_tnPREP0Q

# 6 Data Preparation

### 6.1 Dataset Import for Model building

The get\_data() is defined that takes the path of dataset, depending upon whether model is needed to be implemented on balanced or imbalanced dataset. Along with data import, the

function performs the conversion of the images imported in BGR format to RGB, Black background reduction and image reseize to 224 x 224 dimensions.



Figure 7: Dataset Import for Model Building

#### 6.2 Data Splitting

The Figure 8, below provides the code for splitting the imported data into four different data object that contains images for the respective class. This is done to ensure that number of images in each class after the training validation and testing phase would be equal.

<pre>normal= data[0:300] normal.shape</pre>	<pre>normal= data[0:300] normal.shape</pre>
(300, 2)	(300, 2)
<pre>cataract=data[300:600] cataract.shape</pre>	<pre>cataract=data[300:400] cataract.shape</pre>
(300, 2)	(100, 2)
glaucoma= data[600:900] glaucoma.shape	glaucoma= data[400:500] glaucoma.shape
(300, 2)	(100, 2)
<pre>retina_disease= data[900:1200] retina_disease.shape</pre>	<pre>retina_disease= data[500:600] retina_disease.shape</pre>
(300, 2)	(100, 2)



#### 6.3 Dataset Random Shuffle

Figure 9, represents the code to shuffle the separated dataset for each class. This code block will remain same for both Imbalanced and Balanced datasets.

```
random.seed(15)
np.random.shuffle(normal)
np.random.shuffle(cataract)
np.random.shuffle(glaucoma)
np.random.shuffle(retina_disease)
```

Figure 9: Dataset Random Shuffle

#### 6.4 Feature and Label Separation

The model takes input as images and labels, therefore the function image\_label\_split() takes the three arguments train, validation and test.

def	<pre>image_label_split(train,validation,test):</pre>
	x_train = [] y_train = [] y_val = [] y_val = [] y_tat = [] y_test = []
	<pre>for feature, label in train:     x_train.append(feature)     y_train.append(label)</pre>
	<pre>for feature, label in validation: x_val.append(feature) y_val.append(label)</pre>
	<pre>for feature, label in test:     x_test.append(feature)     y_test.append(label)</pre>
	y_train = np.array(y_train) y_val = np.array(y_val) y_test= np.array(y_test)
	<pre>return (x_train,y_train,x_val,y_val,x_test,y_test)</pre>

**Figure 10: Feature and Label Separation** 

### 6.5 Data Transformation

The transformation method used is normalization, the function takes the image features as input for all three phases. The functions mentioned in Sections 6.3 and 6.4 need to be executed before model compilation.



# 7 Models Architecture Implementation

All the implemented models are used on both Imbalanced and Balanced dataset. All the models are implemented using pre-trained weights.

### 7.1 InceptionV3-LSTM



Figure 12: InceptionV3-LSTM Model Implementation

# 7.2 InceptionResNetV2-LSTM



Figure 13: InceptionResNetV2-LSTM Model Implementation

### 7.3 DenseNet169-LSTM



Figure 14: DenseNet169-LSTM Model Implementation

# 8 K-Fold Cross Validation Implementation

The steps taken till now are necessary to start the model compilation and model fitting stage, an additional step required which is not explained till now is explained in model evaluation section that is test\_pred() which is explained at section 9.1. This function predicts the test labels and after that test confusion matrix, sensitivity and specificity is derived. Figure 15,16 represents the K fold validation implementation for K=5. Figure 15, contains the empty lists created to store the model derived training and validation phase accuracy and loss along with test phase predicted confusion matrices, accuracy, sensitivity and specificity.

```
CM= []
test_accuracy=[]
test_sensitivity=[]
test_specificity=[]
train_acc = []
val_acc = []
train_loss = []
val_loss = []
```

Figure 15: Variables to Store Model Generate Result

```
for k in range (5): # for loop to run 5 folds
    n_normal=30 # specifying the number of images for normal class in test phase, calulated as per 10% of total no
rmal class images 300.
        n_rest=10
                                         # specifying the number of images for disease classes in test phase, calulated as per 10% of total
             class images 100
# Adding the images in normal validation set by using k*n_normal to (k+1)*n_normal as index values for normal data
set divided in cell 6.
test_normal= normal[k*n_normal:(k+1)*n_normal]
print('--------')
print('test images for normal class from',k*n_normal,(k+1)*n_normal)
       # Adding the images in cataract validation set by using k*n_rest to (k+1)*n_rest as index values for cataract data
divided in cell 7.
test_cataract= cataract[k*n_rest:(k+1)*n_rest]
print('test images for cataract class from',k*n_rest,(k+1)*n_rest)
         # Adding the images in gluacoma validation set by using k*n_rest to (k+1)*n_rest as index values for gluacoma data
 set divided in cell 8.
        utotude in control.
test_glaucoma= glaucoma[k*n_rest:(k+1)*n_rest]
print('test images for glaucoma class from',k*n_rest,(k+1)*n_rest)
 # Adding the images in retina disease validation set by using k*n_rest to (k+1)*n_rest as index values for retina
disease dataset divided in cell 9.
test_retina= retina disease[k*n_rest:(k+1)*n_rest]
print('test images for retina disease class from',k*n_rest,(k+1)*n_rest)
          # Now for train and validation set of Normal images first adding 0 to k*n normal images and then adding all the im
 ages from (k+1)*n_normal till last image
        train_validation_normal= normal[:k*n_normal]
train_validation_normal= np.append(train_validation_normal,normal[(k+1)*n_normal:],axis=0)
print('train_validation images for normal class from 0 to',k*n_normal,'and',(k+1)*n_normal,'to 300')
                            train and validation set of cataract images first adding \theta to k^*n rest images and then adding all the im
 ages from (k+1)*n_rest till last image
        train_validation_cataract= cataract[:k*n_rest]
train_validation_cataract= np.append(train_validation_cataract,cataract[(k+1)*n_rest:],axis=0)
print('train_validation images for cataract class from 0 to',k*n_rest,'and',(k+1)*n_rest,'to 100')
# Now for train and validation set of glaucoma images first adding 0 to k*n_rest images and then adding all the im
ages from (ki1)*n_rest till lost image.
train_validation_glaucoma= glaucoma[:k*n_rest]
train_validation_glaucoma= npepend(train_validation_glaucoma,glaucoma[(k+1)*n_rest:],axis=0)
print('train_validation_images for glaucoma class from 0',k*n_rest,'and',(k+1)*n_rest,'to 100')
       # Now for train and validation set of retina disease images first adding 0 to k*n_rest images and then adding all
e images from (k+1)*n_rest till last image.
train_validation_retina- rp.append(train_validation_retina,retina_disease[(k+1)*n_rest:],axis=0)
print('train_validation images for retina disease class from 0 to',k*n_rest,'and',(k+1)*n_rest,'to 100')
  the
        # Splitting the train validation datasets in 80:20 ratio which would eventually give us 70% images in train and 2
# Splitting the train validation data
% images in validation and 10% in test.
normal_train, normal_validation
_state=14,shuffle=True)
cataract_train, cataract_validation
om_state=14,shuffle=True)
                                                                                                    = train_test_split(train_validation_normal, test_size=0.20, random
cataract_train, cataract_validation
om_state=14, shuffle=True)
glaucoma_train, glaucoma_validation
om_state=14, shuffle=True)
retion discover train_test_split(train_validation_glaucoma, test_size=0.20, rand
om_state=14, shuffle=True)

        retina disease train, retina disease validation = train test split(train validation retina, test size=0.20, random
  state=14, shuffle=True)
        # Appending all train set images for all classes
train= np.append(normal_train,cataract_train,axis=0)
train= np.append(train,glaucom_train,axis=0)
train= np.append(train,retina_disease_train,axis=0)
                ppending all validation set images for all classe
        validation= np.append(normal_validation,catarat_validation,axis=0)
validation= np.append(validation,glaucoma_validation,axis=0)
validation= np.append(validation,retina_disease_validation,axis=0)
        # Appending all test set images for all classes
test= np.append(test_normal,test_cataract,axis=0)
test= np.append(test,test_glaucoma,axis=0)
test= np.append(test,test_retina,axis=0)
        # Shuffiling the train validation and test set as they are added sequentially.
random.seed(6)
np.random.shuffle(train)
np.random.shuffle(validation)
np.random.shuffle(test)
          # Passing the train validation test as argument for image_label_split function that return features and labels sep
 arated
```

x\_train,y\_train,x\_val,y\_val,x\_test,y\_test = image\_label\_split(train,validation,test)

# Passing the x\_Train x\_val and x\_test as a argument for normalize function that returns the normalized and resh x\_train,x\_val,x\_test = normalize(x\_train,x\_val,x\_test)

# model building and model compile is done using a model\_build\_compile().

odel = model\_build\_compile(k) model\_duil\_compile(x) ing x\_train,y\_train and x\_val,y\_val for model.fit v = model.fit(x\_train,y\_train,epochs =50, validation\_data = (x\_val,y\_val)) history

train\_acc = np.append(train\_acc,history.history['accuracy'])
val\_acc = np.append(val\_acc,history.history['val\_accuracy'])

train\_loss = np.append(train\_loss,history.history['loss'])
val\_loss = np.append(val\_loss,history.history['val\_loss'])

x,y,z,c = test\_pred(x\_test,y\_test,k)

CM.append([c])

test\_accuracy.append(x)
test\_specificity.append(y)
test\_sensitivity.append(z)

#### **Figure 16: K-Fold Validation Implementation**

Figure 16, includes the function call that passes k as an argument to model\_build\_compile() function that returns the new model built and compiled for each fold. After that, the Model is fitted using the training and validation datasets.

## 8.1 Train Test Validation images per label check

The below code block can be used to confirm the number of images in the test phase of the model. To check for train and validation need to replace test in the for loop with train and validation.



Figure 17: Number of images check in Train Validation and Test

# 9 Model Evaluation and Visualizations

### 9.1 Test Predictions, Accuracy, Sensitivity and Specificity

The function defined in this section needs to be executed before section 8.

de	<pre>if test_pred(x_yal,y_val,k): predictions = model.predict(x_val) predictions = np.argmax(predictions, axis = -1)</pre>
	<pre>print('') compute accuracy for',k-1,'fold') compute accuracy, sensitivity and specificity comt = confusion matrix(y val,predictions) print('Confusion Matrix : \u03c8', comt)</pre>
	#####from confusion matrix calculate accuracy
	<pre>sensitivity_1_normal = (cmt[0,0])/(cmt[0,0]+cmt[0,1]+cmt[0,2]+cmt[0,3]) #print('Sensitivity_1_normal : ', sensitivity_1_normal )</pre>
	<pre>sensitivity_2_cataract = (cmi[1,1])/(cmi[1,0]+cmi[1,1]+cmi[1,2]+cmi[1,3]) aprint('Sensitivity_2_cataract : ', sensitivity_2_cataract )</pre>
	<pre>sensitivity_1_glaucoma = (cm1[2,2])/(cm1[2,4]+cm1[2,2]+cm1[2,3]) sprint('Sensitivity_1_glaucoma : ', sensitivity_1_glaucoma )</pre>
	<pre>sensitivity_4_retina_disease = (cm1[3,3])/(cm1[3,0]+cm1[3,1]+cm1[3,2]+cm1[3,3]) #print('Sensitivity_4_retina_disease : ', sensitivity_4_retina_disease )</pre>
]+	<pre>specificity_inormal = (cmt[i,i]+cmt[i,2]+cmt[i,3]+cmt[i,1]+cmt[i,2]+cmt[i,3]+cmt[i,2]+cm</pre>
,1	<pre>specificity_z_cataract = (cmt(0,0)=cmt(0,2)=cmt(2,0)=cmt(2,2)=cmt(2,2)=cmt(3,2)</pre>
,2	<pre>specificity_g_lawcoms = (cm[0,0]-cm[0,1]-cm[0,3]-cm[1,0]-cm[1,1]+cm[1,1]-cm[3,0]-cm[3,1]-cm[3,1]-cm[3,2])/(cm[0,1]-cm[1,2]-cm[3,2</pre>
c	<pre>specificity_4_retima_disease= (cmt0;0]+cmt[0;1]+cmt[0;2]+cmt[1;0]+cmt[1,1]+cmt[1,2]+cmt[2,2]+cmt[2,2])/( mt[0;1]+cmt[1;1]=cmt[2;1]+cmt[0;0]+cmt[0;1]+cmt[1;0]+cmt[1,1]+cmt[1,2]+cmt[2,0]+cmt[2,1]+cmt[2,2])/( mprint(')specificity : ', specificity_retima_disease)</pre>
e)	<pre>Sensitivity= (sensitivity_1_normal + sensitivity_2_cataract + sensitivity_3_glaucoma + sensitivity_4_retina_diseas</pre>
e)	<pre>Specificity= (specificity_1_normal + specificity_2_cataract + specificity_3_glaucoma + specificity_4_retina_diseas</pre>
	<pre>total:=sum(sum(cm1)) test_accuracy=(cm1[0,0]+cm1[1,1]+cm1[2,2]+cm1[3,3])/total1</pre>
	<pre>print ('Accuracy : ', test_accuracy) print ('Specificity : ', Specificity) print ('Smithity : ', Santitivity') print('') return test_accuracy,Specificity,Sensitivity,cmi</pre>

Figure 18: Test Predictions and Confusion Matrix and Sensitivity and Specificity Computation

# **9.2** Average of All the Evaluation Metrics for Train, Validation and Testing Phase

As the K fold validation generates 5 different results set for each fold, the average of all the evaluation matrices needs to be computed.

```
mean_train_accuracy=np.mean(train_acc)
mean_train_accuracy
```

0.8978425928354263

mean\_val\_accuracy=np.mean(val\_acc)
mean\_val\_accuracy

```
0.49062963223457334
```

```
mean_test_accuracy=np.mean(test_accuracy)
mean_test_accuracy
```

```
0.55
```

Figure 19: Average Accuracy Computation for All Phases

mean\_train\_loss=np.mean(train\_loss)
mean\_train\_loss

1.2308800502121449

```
mean_val_loss=np.mean(val_loss)
mean_val_loss
```

13.798260963439942

Figure 20: Average Loss for Training and Validation

```
mean_test_specificity= np.mean(test_specificity)
mean_test_specificity
```

0.7654947367530492

mean\_test\_sensitivity= np.mean(test\_sensitivity)
mean\_test\_sensitivity

0.468333333333333334

Figure 21: Average Sensitivity and Specificity for Test

#### 9.3 Training v/s Validation Accuracy and Loss Plots

```
def plot_print(i,j):
    epochs_range = range(50)
    plt.figure(figsize=(15, 15))
    plt.subplot(2, 2, 1)
    plt.plot(epochs_range, train_acc[i:j], label='Training Accuracy')
    plt.plot(epochs_range, val_acc[i:j], label='Validation Accuracy')
    plt.title('Training and Validation Accuracy')
    plt.subplot(2, 2, 2)
    plt.plot(epochs_range, train_loss[i:j], label='Training Loss')
    plt.plot(epochs_range, val_loss[i:j], label='Validation Loss')
    plt.legend(loc='upper right')
    plt.title('Training and Validation Loss')
```

return plt.show()



9.4 Test Phase Confusion Matrices Visualization



Figure 23: Confusion Matrices Visualization

# 9.5 Summarizing the Confusion Matrix for All Folds



Figure 23: Summarized Confusion Matrix

# 9.6 Reconfirming the Test Evaluation Results



# References

Data Source: <u>https://www.kaggle.com/jr2ngb/cataractdataset</u>

Code Reference for Confusion Matrix, Accuracy, Sensitivity and Specificity Computation: https://statinfer.com/204-4-2-calculating-sensitivity-and-specificity-in-python/

https://towardsdatascience.com/visual-guide-to-the-confusion-matrix-bb63730c8eba