

Trash Image Classification System using Machine Learning and Deep Learning Algorithms

MSc Research Project
Data Analytics (MSCDA-B)

Himanshu Gupta
Student ID: x18203302

School of Computing
National College of Ireland

Supervisor: Dr. Muhammad Iqbal

**National College of Ireland
Project Submission Sheet
School of Computing**



Student Name:	Himanshu Gupta
Student ID:	x18203302
Programme:	Data Analytics (MSCDA-B)
Year:	2020
Module:	MSc Research Project
Supervisor:	Dr. Muhammad Iqbal
Submission Due Date:	28/09/2020
Project Title:	Trash Image Classification System using Machine Learning and Deep Learning Algorithms
Word Count:	5088
Page Count:	19

I hereby certify that the information contained in this (my submission) is information pertaining to research I conducted for this project. All information other than my own contribution will be fully referenced and listed in the relevant bibliography section at the rear of the project.

ALL internet material must be referenced in the bibliography section. Students are required to use the Referencing Standard specified in the report template. To use other author's written or electronic work is illegal (plagiarism) and may result in disciplinary action.

Signature:	
Date:	28th September 2020

PLEASE READ THE FOLLOWING INSTRUCTIONS AND CHECKLIST:

Attach a completed copy of this sheet to each project (including multiple copies).	<input type="checkbox"/>
Attach a Moodle submission receipt of the online project submission , to each project (including multiple copies).	<input type="checkbox"/>
You must ensure that you retain a HARD COPY of the project , both for your own reference and in case a project is lost or mislaid. It is not sufficient to keep a copy on computer.	<input type="checkbox"/>

Assignments that are submitted to the Programme Coordinator office must be placed into the assignment box located outside the office.

Office Use Only	
Signature:	
Date:	
Penalty Applied (if applicable):	

Trash Image Classification System using Machine Learning and Deep Learning Algorithms

Himanshu Gupta
x18203302

Abstract

In today's fast pacing world of the internet age with all the amenities and latest gadgets, the major urban cities in the world are still struggling with trash management. Only a few countries using the recycling of wastes but most of them dumping all the trash to the landfills. The quantity of generated trash in day to day life is affecting land, water, and air which causes a serious threat to the aquatic species and their surroundings and ultimately to humans if not managed properly. The objective of this study is to develop a system that can classify these trash images into their correct categories with the help of machine learning and deep learning methodologies. The dataset used for achieving this objective is released by the TACO dataset consist of 1500 images of litter and trash with annotations and labeled. There are five categories of trash with the name 'Plastic straw', 'Drink can ', 'Cigarette', and 'Clear plastic bottle' considered for this work. This dataset is recently released and very rarely used in any research work. To make the dataset more scalable and balanced various data augmentation techniques will be adopted. Four classification algorithms such as the Sequential Keras model, transfer learning ResNet-50, and VGG-19 models and XGBoost classifier model will be developed for features extraction and classification of images. The performance of the model will be evaluated based on accuracy and comprehensive comparison will occur among all the four models on several parameters. The outcomes showed that pre-trained transfer learning models can be used for high classification accuracy and assured that data augmentation techniques assists in improving the overall results.

Keywords: Data Augmentation, Trash Classification, Image Classification, ResNet-50, VGG-19, Sequential Keras Model, Extreme Gradient Boosting, Transfer Learning.

1 Introduction

1.1 Background and Motivation

Municipal garbage exists in the world since the time of civilizations and with the increasing number of humans, the proportional garbage has also increased. The management of trash became essential around 500 BC due to a lack of a proper trash handling system to avoid throwing rubbish on streets which cause various unhygienic health concerns. In the early years waste was burned and disposed of but it was not very effective for a long time and created a nuisance for the city population. With time different countries came up with several laws to curb the city pollution within its limits and found that some garbage

can be recycled and some can be used as a source of energy which makes segregation of garbage as one of the main aspects of trash management (Williams; 2005).

In today's time, garbage and trash are generated mostly from packaged food to shopping bags. Fast-paced aspects of urban lifestyle contributed more to garbage pollution which has created the necessity of trash classification. Though there is an existing mechanism to deal with this concern with the increasing locations of finding rubbish, the system needs to improve. The process of waste collections and segregation by humans involves mishandling and they can mix up the materials that resulted in wastage of time and resources.

1.2 Research Question and Objective

1.2.1 Research Question

"How effectively Machine Learning and deep learning approaches can be used for trash image classification and prediction ?"

1.2.2 Research Objective

The primary research objectives of this study are as follows

- Data pre-processing of trash images and create augmented data to see if it assist in obtaining high classification accuracy.
- To verify results by training VGG-19, ResNet-50, Keras Sequential, and XGBoost models at different k-folds using cross validations.
- To measure how number of epochs affecting model performances.
- Evaluation of models majorly on accuracy metrics and class prediction probability. Comparison of Results to see the prediction accuracy per class category.

1.3 Limitation and Challenges

Considerable researches have been conducted on garbage and trash classification using machine learning methods. The biggest challenge in the implementation of these systems is collecting the image data and its associated labels, bounding boxes, etc. The image data has its parameters like image size, image background, number of images, dimension reduction, augmentation which needs to be considered while building the machine learning models.

2 Related Work

It is found that the increase in the garbage quantity globally makes the environment very polluted for all the species including humans due to the environment polluted things like plastics trash, wrappers, carton, etc., thrown out outside making the separation of garbage and its respectability tough. Some researches focused on the combination of monitoring technology for the environment to classify garbage which helps in the recycling and solid waste management system with some real-time monitoring (Wanget al.; 2018). But in this research study, the primary focus would be developing models based on deep learning and transfer learning models to accurately classify trash images.

2.1 Image Classification

Modern problems of machine learning can be handled with the help of image classification because of lots of images available online. Several breakthroughs have been achieved so far related to object detection, image labeling, and their classification by various researchers. The various machine learning models are implemented on images and their performance largely depends on how well the feature extraction process is implemented in the system from the images (Sharma et al.; 2018). Image classification is not just used in simple applications but various remote sensed based applications as well and one such study explored to find the land cover mappings based on the objects using satellite images and aerial remote sensing data as studied by (Ma et al.; 2017). Image classification has useful applications in several applications like smart cities where visual surveillance takes the image of trash at various spots in the city resulted in better trash monitoring (Kaljahi et al.; 2019).

2.2 Deep Learning Techniques

Deep learning models are extensively used in computer vision problems. It is difficult to classify images and implement ML models in some domains like Medical images where the system cannot just rely on the traditional machine learning approaches such as texture, color of the medical images and need deep learning techniques (Lai and Deng; 2018). In the areas of garbage and trash classification, popular research was presented by (Yang and Thung; 2016) where they have created the dataset of 400-500 of garbage images and applied SVM and convolutional neural network and it was found that SVM performed better than others with the accuracy of 63%, but the results are not significant to establish why the neural network was not working better and the smaller data size limits the research exploration. Also, the possibility of having more than one object in the image has not been considered while training the model. In a very recent similar study (Satvilkar; 2018) a very rich collection of trash images data used after Bing search and ML models like SVM, XGBoost, and CNN implemented for classification. A dropout layer of 25% has been added in CNN model layers to regularize the model and among all these models CNN has performed the best with the accuracy of 89 percent similarly Trash Net dataset has been used which were earlier collected by (Yang and Thung; 2016) having six trash categories like glass, paper, cardboard, etc which further used for classification based on deep learning approaches (Bircanoğlu et al.; 2018).

For the separation of waste and their sorting most picked out machine learning algorithms are Support vector machines and deep learning with CNN which have used different classifiers for the image classification. Here, SVM achieved 94.8% accuracy and CNN achieved 83% also SVM successfully classifies more images that got contaminated by other waste material and were not so clear and the image size consideration is 256×256 (Sakr et al.; 2016). To make the waste segregation process quick deep learning can be used and one such deep learning framework is called Caffe framework which is open source and very reliable and generally used to classify images of the large dataset by using the power of GPU. It can also deploy a deep learning model on the cloud infrastructure unlike the regular CNN model (Sudha et al.; 2016). Region proposal generation based approaches of deep learning also explored in a study done by (Zhihong et al.; 2017) for object recognition and then forward those input to a classifier. State of the art method Fast R-CNN used which is the combination of RPN and VGG-16, here 'bottle' is the target category and the input data is of 1999 images into the model which integrates with the robotic vision.

In many IoT devices two types of components involved in the architecture, one is for identifying and classifying images of the bottle and another is using sensors proximity for identification of aluminum cans. Images get scanned by the machine and give a Boolean output whether the image is of a plastic bottle or not based on the identification algorithm of the bottle and if the proximity sensor value is high then it identifies as an aluminum can. This sort of electronic Bottle recycle machine (BRM) has been installed at one of the railway stations in India to detect bottles. Convolutional neural network classifier is used as supervised learning to extract the features from the input images and then compare those features with the known ones. The only limitation was the smaller data collection of around 400 images of cans and plastic bottles. CNN performs better as compared to the barcode mechanism with an accuracy of 80 to 100 with or without labels (Dhulekar et al.; 2018). One study researched to segregate plastic material from non-plastic material with the help of CNN architecture (Tarun et al.; 2019) in which the output is identified by one associated layer and it has two hidden layers. Output probabilities were calculated and 0.5 value considered as the threshold value for being classified into plastic and non-plastic, though it is binary classification CNN layered architecture can be observed which gives the accuracy of almost 98% in every scenario.

In one of the recent studies, image classification has been done with the help of CNN where images belong to categories like plastic, metal, cardboard, and paper. The sequential model of CNN implemented with layered architecture and using softmax function which gives values between 0 and 1 for all the 4 classes. A total of 1889 images trained and 188 images used for testing purposes. Accuracy for training data has found as 99.12 percent and for testing, it is found as 76.19% but no cross-validation has been performed for cross-validation to check the overfitting of the model (Sidharth et al.; 2020).

2.3 Transfer Learning Techniques

Sometimes to develop a better neural network we avoid to train the model layers from the scratch and use some pre-trained model and their knowledge on our custom dataset by making a small change in our architecture which is called transfer learning and the expense of training a new model is get saved while using the transfer learning (Gupta et al.; 2017). Pre-trained model ResNet 50 which is 50 layer CNN used as a feature extractor and for the classification of waste images into different categories multi-class SVM classifier used on the input image dataset developed by (Yang and Thung; 2016) After data splitting into 80:20 ratio of the train and test the model achieves an accuracy of 87% overall. The loss for training keeping constant after 12 epoch therefore for every input used backpropagation in the network. The average training accuracy was 94.5% (Adedeji and Wang; 2019) and to handle the complexity of big data especially with fewer annotations available the lightweight neural network used in combination with transfer learning along with SVM classifier to classify waste images which perform very optimize with 98.4% classification accuracy (Xu et al.; 2020). A hybrid of residual networks and inception networks called an inception-ResNet-v2 deep learning module successfully achieved an accuracy of 89% (Aral et al.; 2018). The performance of ResNet-50 and VGG is explored by (Srinilta and Kanharattanachai; 2019) in the classification of waste types and outperformed all other architectures with the accuracy of 91.30%. ReLU and three convolutional layers are used in the neural network. There are few limitations of deep neural network which affects model performance because of gradient dispersion (Simonyan and Zisserman; 2014) and this performance issue got resolved by using the ResNet50 architecture based on the

residual technique in the network as proposed by (He et al.; 2016).

3 Methodology

The proposed study looks into the use of deep learning and transfer learning models for the classification and prediction of trash images. Firstly, the use and importance of creating suitable data for modeling have been assessed thoroughly. Some data input requirements depend on the particular model implementations such as sequential Keras convolutional neural network developed from the baseline and on other hand pre-trained models such as ResNet 50 and VGG-19 were required specific input dimensions and pre-processing. Cross-validation techniques have been used to support experiment results. Moreover, not many studies researched this newly available dataset TACO.

Methodology implemented includes the following procedures :

- Gathering and Pre-processing of data
- Data Analyses and Augmentation
- Developing and optimizing Sequential Keras model
- Developing and optimizing ResNet-50 architecture model
- Developing and optimizing VGG-19 architecture model
- Developing and optimizing XGBoost model.
- Models Evaluations and Results discussions.

3.1 Data Description and Acquisition

The data used for this research are the collection of trash images collected and generated by (Proença and Simões; 2020). All the images are labeled manually and hosted on Flickr servers which make these images available to train object detection and deep learning algorithms. TACO has provided the python script for downloading the images. It is a publicly available image dataset named 'TACO' which has a total of 1500 images belongs to 60 categories¹ such as Cigarette, Clear plastic bottle, Drink can, etc. All the images are having a realistic background in diverse places. Majorly five class categories were chosen from the dataset for this research as mentioned in Figure 1 and have a total of 805 images considered initially.

3.2 Data Pre-processing

In Data pre-processing the 'annotation.json' file has been loaded and then categories, annotations, and images values were extracted from the dataset and converted into the pandas' data frames. All the unwanted columns and features dropped from the data frame. There was no missing value in the data.

Initially, the total number of images was less and not sufficient to get the reliable results therefore more data has been generated using the following data augmentation techniques:

¹<http://tacodataset.org/>

- Image Cropping
- Image Rotation
- Horizontal and Vertical flip
- Gaussian Blurring



Figure 1: Trash input images

Bounding boxes for each file were used in calculating the minimum and maximum values for horizontal as well as vertical axes of the image which was further used for image cropping. To avoid the over edging some padding has been added while cropping. Cropped images were further augmented into three more kinds of images. Rotate the images at 88 degrees randomly, horizontally flipped the images from left to right which gives mirror reflection. Finally blurred the cropped image using Gaussian blur at radius 0.2 for making the dataset more realistic. Images for 'Drink Can' and 'Plastic straw' were very less making the data much imbalanced, so few vertical flip images for these two categories were added in the dataset. All these images saved into a new data directory with renamed file names but the same labels of original images. The total number of images per category is shown in figure 2.

	Total Size	Proportion
training	2202	0.6
validation	551	0.2
test	689	0.2
dataset	3442	1

Table 1: Dataset configuration details

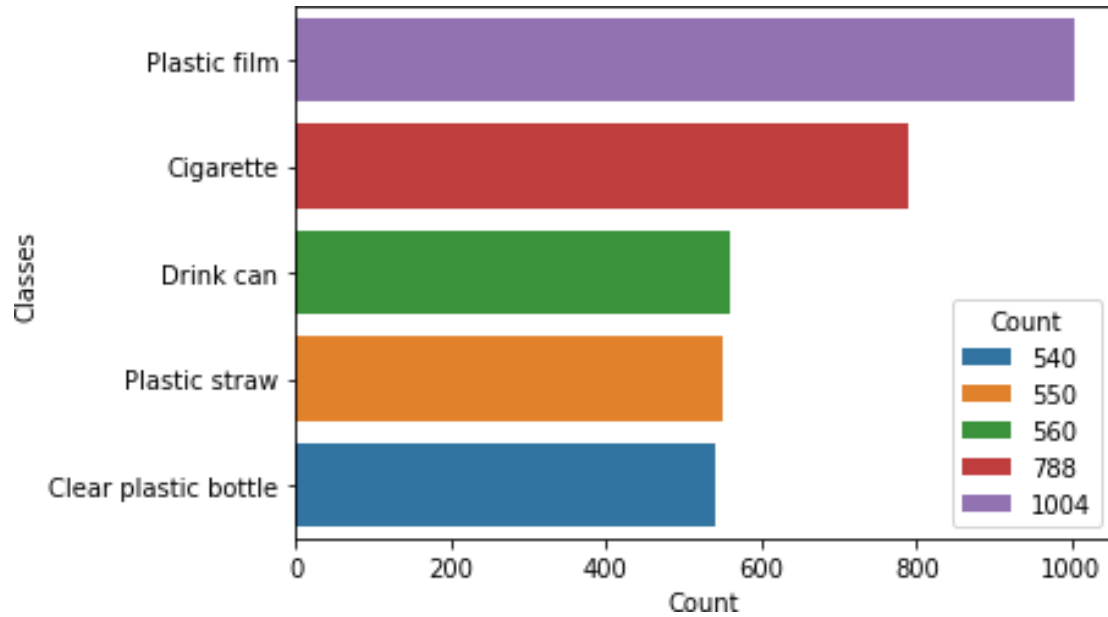


Figure 2: Data After Augmentation

4 Implementation

The implementation involves the following steps: preparation of data for each model, defining the model architecture and parameters, training and testing the implemented models, evaluating the model results, and cross-validation. Validating the model for overfitting and underfitting and optimize the model parameters for reliable results. All the implemented models are based on neural networks but with different architectural styles. Firstly, input array data split into the training and testing dataset in 80 to 20 ratio using scikit-learn machine learning library along with setting the stratify parameter true which split the data in such a way that subsets had the same proportions of class labels. During the model training, 20 percent of the training subset passed as validation data to monitor the validation accuracy which gets calculated at the end of each epoch. Keras and pre-trained models run for (50,100) number of epochs. For the high number of epochs sometimes the model gets over-fit therefore to stop the training early stopping was used with different patience values. Patience value is equivalent to the number of epoch and after a specific epoch being trained model monitor the validation loss and if the validation loss is not improving then training has stopped. After the model training, K-folds cross-validation applied on the different number of folds(10,20) which split the training into multiple folds and then train the model to measure the performance of the model and validate our results.

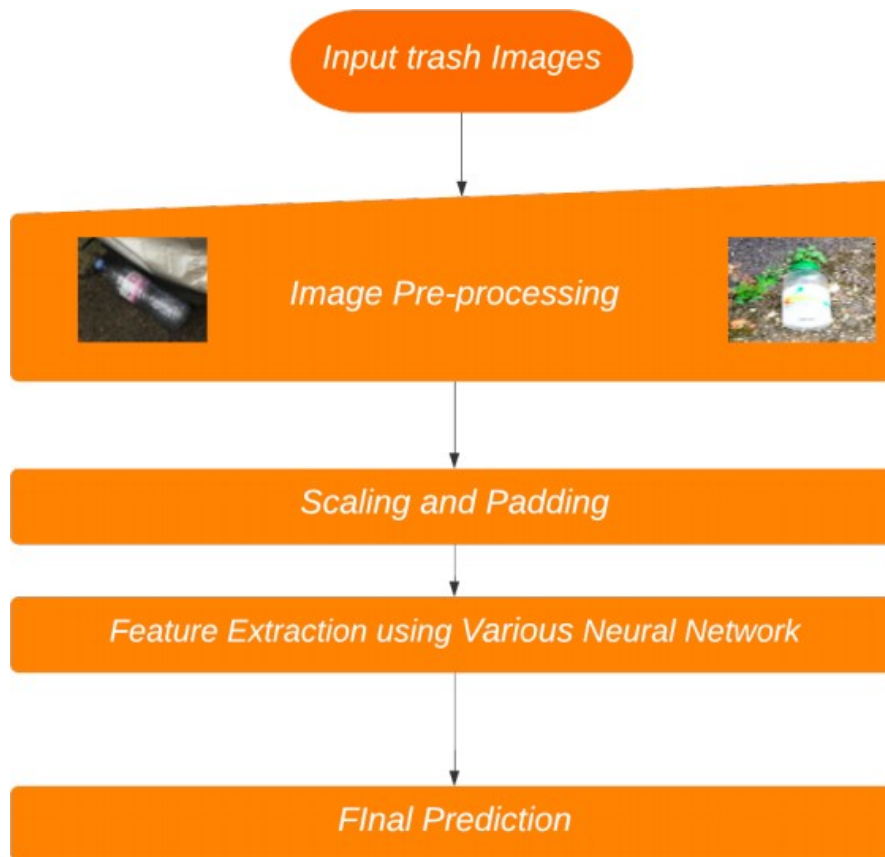


Figure 3: Process Flow for Neural Network

4.1 The Sequential model - Keras

Keras models are a layer of network topology used in implementing deep learning problems and constructed on top of a machine learning platform. In this research the sequential model of Keras API was used to develop a neural network ². Each network layer takes one tensor input and gives one tensor output. For Keras Convolutional neural network and pre-trained model like ResNet-50, the X input features of images were converted into the NumPy array before feeding into the input layer. Since my labels columns containing the categorical values, it was needed to convert them into the categorical data type. This has achieved by creating dummy variables for each category. After considering grid search model parameters are used in the model creation. A rectified linear unit used as an activation function in the input layers which use zero as a threshold value and gives 0 for all the negative values $f(x)=\max(0,x)$. ReLU mostly used in computer vision neural which improves the convergence value networks (Krizhevsky et al.; 2012). This is a multi-class image classification softmax activation function used in the output layer which gives probabilities between 0 and 1. Implemented Sequential Keras architecture from the baseline model as follows:

²https://keras.io/guides/sequential_model/

- **Convolution Layer:** Conv2D is the first layer that generates convolutional kernel with (3*3) kernel size and layers learned from the input number of filters.
- **Dropout Layer:** To prevent the model get overfit on the trainable features Keras dropout layers were added at the frequency rate of (0.5) which sets the hidden output neurons to 0 randomly at each training update phase.
- **Batch Normalization Layer:** This layer was used to increase the overall efficiency of the network by normalizing the input features. It performed re-scaling as well as re-centering of input layers.
- **Flattening Layer:** This is a simple layer that basically converts 3d features to one-dimensional features to make the features available for fully connected layers inside the network.
- **Dense Layer:** This layer added as an output layer that takes the output of the previous layer and passed to all its neurons and each neuron further generated outputs for the next layer. Since only one dense layer has been added in the output which takes a number of classes (5 in this case) and 'softmax' as an activation for multi-label classification.

Model got trained with the batch size of 50 and along with 20% validation split and early stopping with patience 20.

4.2 ResNet-50 - Keras

ResNet-50 architecture implemented which is a 50 layer deep neural network. The model is pre-trained on weights of a very big image dataset called 'imagenet' which contained more than a million images belongs to 1000 categories. Loaded the ResNet network layer as the first layer with the average pooling for the weights. This layer has already pre-trained so no need to train this layer again. Train the model with the same parameters given in the Keras without adding any extra layer because of less data availability. Training and testing accuracy as well as a loss were calculated after model evaluation on the train and test features. In ResNet 50 architecture there are three types of layers 1 max pool layer, 48 convolutional layers, and 1 average pool layer. This model can be used for computer vision as well as non-computer vision classification problems for better achieving better accuracy. For reducing the training error rate, ResNet uses the concept of deep residual network. Only one dropout layer at the frequency rate of 0.3 has been added on the top of ResNet layers to prevent overfitting.

4.3 VGG-19 - Keras

Training a CNN model from the base has its own advantages and disadvantages. Lots of grid parameters are needed to run for finding the optimal setting in the neural network. To resolve this issue adaptation of an already optimized and learned model is beneficial. Therefore similar to the ResNet-50 transfer learning model, VGG-19 (Visual Geometry Group) is trained on imagenet of 1000 classes and proven to work very efficiently with smaller datasets (Jaworek-Korjakowska et al.; 2019). It consists of 19 deep layers such as dropout, max pooling, convolutional, etc. VGG-19 used primarily for the classification of training layers consist of dropout as well as a dense layer. In the implementation,

the Keras Sequential model has been used which preloads and adapts the behavior of VGG-19 to trained on the TACO custom dataset. Firstly, all the input features were pre-processed using the VGG19 'preprocess input' function which changes the input data according to the model requirement and subtracting the imagenet dataset mean 'RGB' channels value from the input images. Features were extracted in a batch size of 50. The final output of the VGG-19 model used to train the input of Keras layers. As the objective is to classify multi-label class the loss function and optimizer setting were set similar to the above models.

VGG-19 architecture is a deep CNN network that overcomes the shortcomings of the Alexnet neural network (Shaha and Pawar; 2018). VGG-19 consist of the following layers: 16 Convolutional layers which implemented in groups of (2,2,4,4,4) with a different number of filters(64,128,512), 3 fully connected layers, 5 max-pooling layers, and 1 softmax layer.

To run the k-fold function the inputs pre-processed using VGG19 preprocess-input to keep the consistency in the results.

4.4 eXtreme Gradient Boosting

XGBoost is available as an open-source package for Applied machine learning problems. The motivation behind the implementation of this method is finding a good classification score because of the smaller dataset. XGBoost model made by (Chen and Guestrin; 2016). XGBoost works based on gradient boosting and internally operates on tree learning techniques.

Multi Softmax activation function was used with the 'logloss' function which used for evaluation metrics. For the data, preparation data is converted to NumPy array first and then the label column which contains the five classes was converted to categorical data type using pandas with category codes as [0,1,2,3,4]. All train and test features reshaped according to the input array shape which is (128,128,3) and saved into a 'CSV' file as numerical values. Numeric data was fetched and then an optimized data structure was created using the 'DMatrix' package which increases the training speed as well as the memory efficiency. Several input parameters passed for tuning the model as shown in. For cross-validation xgboost model trained using its own cross-validation function into 2 and 3 folds with '50' boosting round and '10' early stopping rounds while evaluation metrics keeps the same as 'logloss'.

```
xgb_params = {'num_class':5, # Number of Output classes
              'nthread':8, # Number of Parallel threads
              'gamma':0.1, # For minimizing loss
              'eval_metric':'mlogloss', # Multi classification evaluation
              'min_child_weight':3, # It performs regularization
              'subsample':0.7, # Percentage of rows consider while building subtree
              'max_depth': 16, # Maximum number of nodes between root and farthest leaf
              'objective': 'multi:softmax', # Multi label classification
              'seed': 1337, # For reproducibility
              'silent': True}
```

Figure 4: The XGBoost function call and typical hyperparameters

5 Evaluation

Implemented deep neural and machine learning models were evaluated and their performances were measured based on several parameters like training accuracy, testing accuracy, validation loss, precision, recall, etc. To make the model training a more realistic sub-sampling approach was used to split the data into a ratio of 80% to 20% between training and testing data. 20 percent test data kept aside at the beginning as unseen data which used later for model prediction. Models were getting trained mostly on training data but in addition to that 20% validation data used for finding validation loss during training. To make the results more reliable models trained on the number of folds using cross-validation techniques.

In this research project, four models were implemented and evaluated for trash classification and their performances as well as their shortcomings on a smaller dataset and on a bigger dataset discussed in the below sections. Findings of overall accuracy before and after data augmentation shown in Table 2.

Models	Accuracy Before Data Augmentation	Accuracy After Data Augmentation
Sequential Keras	20.5%	59.5%
ResNet-50	40.4%	86%
VGG-19	39%	86.4%
XGBoost	29%	69%

Table 2: Overall Evaluation of Classification Techniques

5.1 Experiment with the Sequential model

The Sequential Keras CNN model was evaluated majorly on 100 epochs but with the early stopping parameter which was set to 20 and it has been observed that the model stopped learning after 24 epochs and stopped. The model has achieved very high training accuracy of 83% and 89.9% respectively but tremendously fail in prediction using new data. The visualization of the model learning process is shown in Figure 5. Using the Keras classifier Cross-validation method accuracy for 10 k-folds was recorded in Figure

6. The validation accuracy was not increasing after 10 epochs while training accuracy was kept on increasing which indicates the overfitting of the model. Though some CNN regularization techniques (Xu et al.; 2019) were applied to the model, no significant improvement was found.

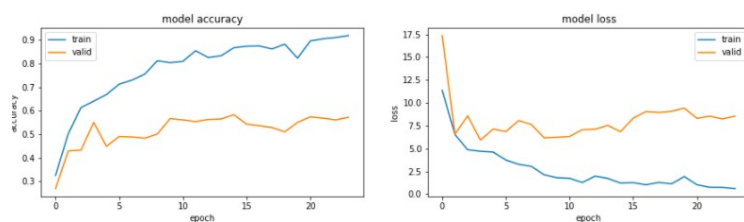


Figure 5: Sequential model Plots

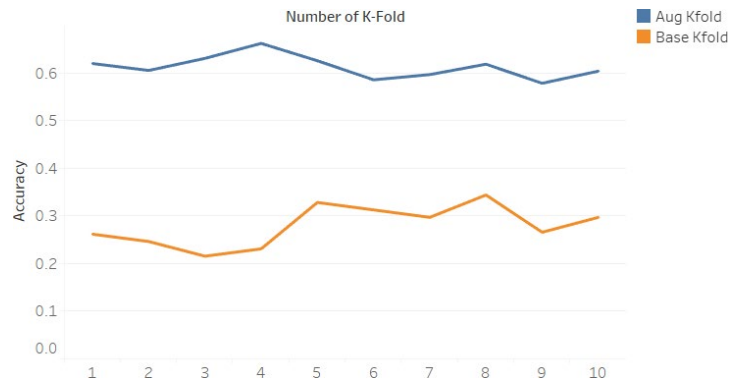


Figure 6: Sequential model cross validation plots

5.2 Experiment with ResNet-50

ResNet-50 transfer learning has lakhs of training parameters on which model trained. The initial accuracy of the baseline model on unseen data was very low similar to Keras' sequential model but it drastically changes to 85.9% after the model got trained on a bigger dataset. The second evaluation approach was using k-fold cross-validation. Visualization of final model accuracy and loss is shown in below figure 7. The finalized model used for class prediction and as shown in Figure 8 the confusion matrix telling the highest correctly predicted class is 'Clear plastic bottle' although all other predictions are also very strong and above 80%.

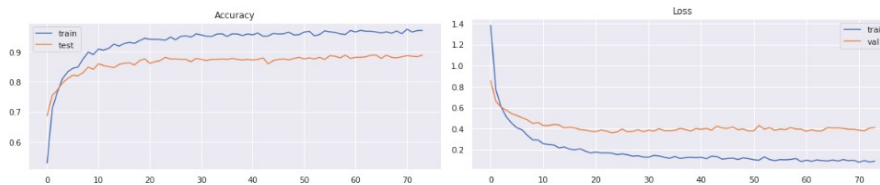


Figure 7: ResNet-50 Evaluation plots

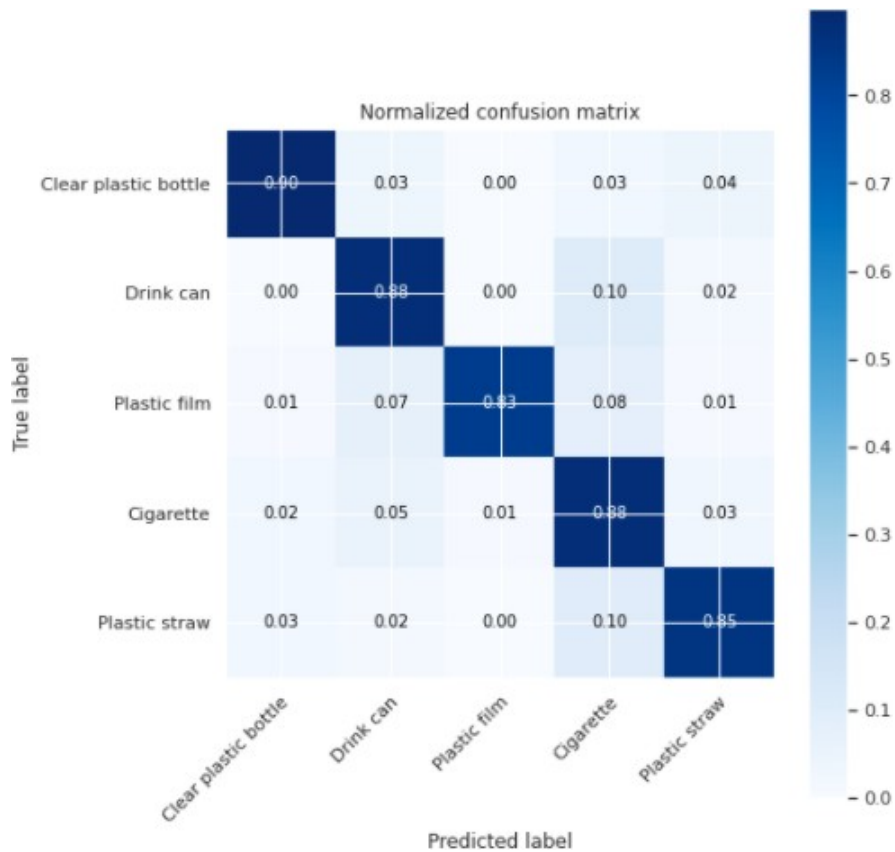


Figure 8: ResNet-50 Confusion Matrix

5.3 Experiment with VGG-19

The evaluation metric of VGG-19 was similar to the ResNet-50 model. Training accuracy on the augmented data was found 97% and testing accuracy was 86.4%. On the other hand validation loss was keep on decreasing on both pieces of training and test both dataset which indicates there was no overfitting during the training. 5 k-folds run for the model for 50 epochs in the batch size of 50 the mean results were 81.69% which verified our test results. The finalized model used for class prediction and the confusion matrix plotted as shown in Figure 10 telling the highest correctly predicted class is 'Clear plastic bottle' with 96% and the lowest is 'Plastic straw' at 77%.

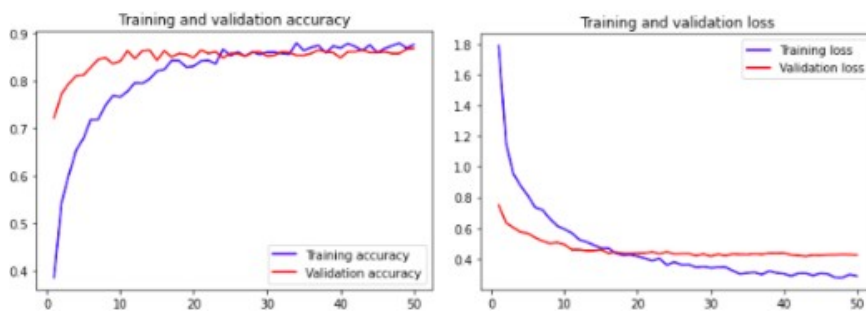


Figure 9: VGG-19 Evaluation plots

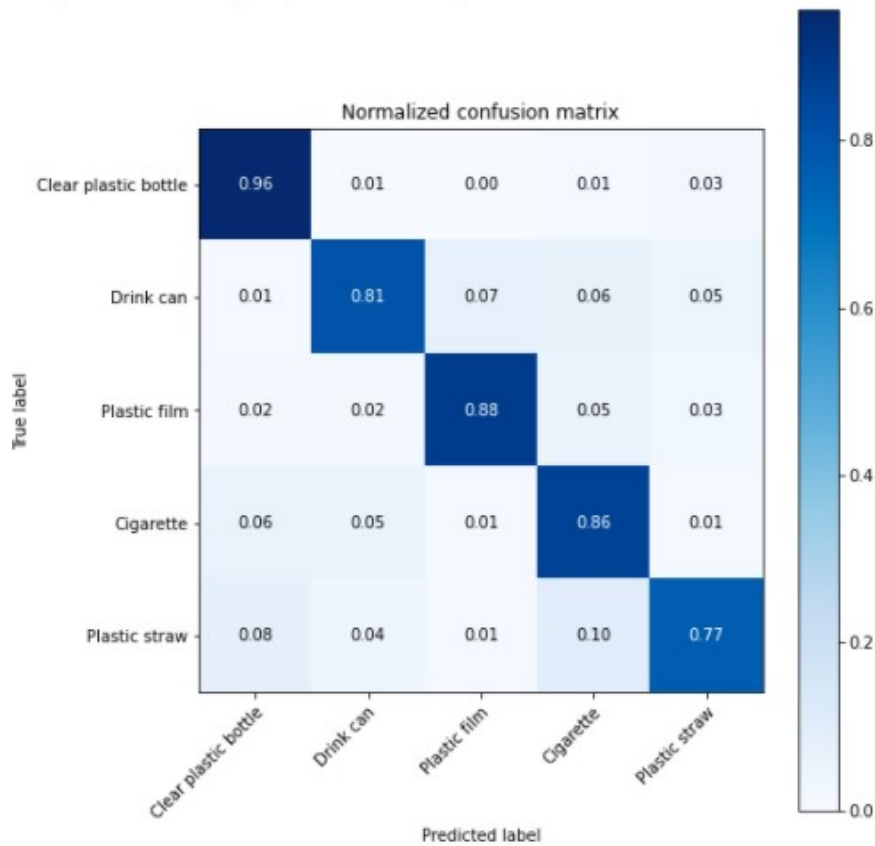


Figure 10: VGG-19 Confusion Matrix

5.4 Experiment with XGBoost

For XGBoost the overall accuracy from the base model to the augmented model has been increased from 29% to 69%. Precision, Recall and F-1 score was also calculated as shown in Table 3. The precision value indicates that out of all positive observations how many are correctly predicted positive observations. According to the table, the maximum positive observations were for the 'Cigarette' category. The recall metric finds that how much the actual positives model can catch by using the label values. The recall function is mostly used in scenarios where computational cost, as well as a risk factor, is very high and the main focus is on actual positives. XGBoost model found 79% actual positives for the 'Cigarette' category which is the highest. F-1 score used to see the balance between precision and recall. Accuracy calculated by taking the weighted average of results values which are 69%. The results were verified by running cross-validation of the XGBoost method on 'logloss' and its mean value was 1.13 for training and 0.23 for testing as shown in Figure 11.

Classes	Precision	Recall	F1-score
Cigarette	0.81	0.79	0.80
Clear plastic bottle	0.71	0.57	0.63
Drink Can	0.61	0.61	0.61
Plastic film	0.66	0.75	0.70
Plastic straw	0.63	0.65	0.64
Average(Accuracy)	0.69	0.69	0.69

Table 3: Classification Report XGBoost

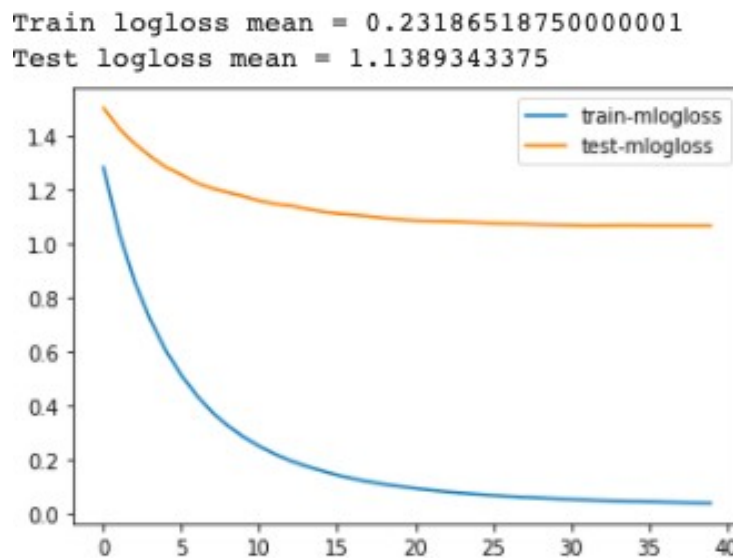


Figure 11: Log Loss function

5.5 Discussion

The baseline Keras Sequential model which developed from scratch was not performed at par on both smaller as well as on the bigger augmented dataset. Although there is a notable difference between the training and testing accuracy of both models 1 and 2 as shown in Table 2. As compared to the above sequential neural network model the performance of ResNet-50 and VGG-19 model is far much better. Even with the lakhs of trainable parameters, the training was not overfitted on the contrary it efficiently classifies trash images on unseen data. In the case of VGG-19, all 5 cross-validation folds achieved around 81% accuracy consistently among all folds. The learning curve in Figure 9 for VGG-19 shows how progressing the learning is for both validation and training data. The input features in VGG were more normalized and pre-processed beforehand which makes the result more reliable in comparison to ResNet which has higher training accuracy than validation and gives few signs of overfitting.

As far as the non-neural XGBoost method was concerned the accuracy was around 69% which was not at par but unlike transfer learning methods XGBoost was not pre-trained and not specially made for image classification. In XGBoost the log loss function used for evaluation which penalizes every inaccurate classification and quantifies accuracy. The mean value of log loss mean was near to zero which indicates the good performance of the model and gives better classification accuracy without integration with any other

hybrid model. One similar work used by (Zhang et al.; 2019) obtained XGBoost classification accuracy of 67.67%.

The comprehensive comparison among four models is given two major observations about this research. Firstly, all the models performed far better on the augmented data which contains more than four times data than the initial dataset. It indicates that neural networks are more likely to get overfit on the smaller dataset and the results are not much reliable. Secondly, among all models transfer learning models gave realistic reliable results which show that for building Keras sequential model from the baseline it is very unlikely to find the optimized hyperparameters settings as well as architecture definition for high classification accuracy.

6 Conclusion and Future Work

The objective of this study was to classify trash images using machines and deep learning approaches. The research was conducted meticulously and according to the findings obtained it can be seen that the transfer learning model outperforms other models in the case of image classification for this dataset. Datasets consist of images having a diverse background which makes it a more realistic environment. But the classification models face some shortcomings such as low quality of images after cropping, less original data, computational limits to run models for a high number of k-folds, etc.

Even with limited challenges, the overall performance of the models is satisfactory and the results obtained are accurate and sufficient enough to use in similar applications. Nevertheless, the scope can be widened by using techniques like object detection algorithm and segmentation for achieving higher accuracy with a balanced dataset and more data size for scalability. This research can be extended in the future and includes not just five trash categories but more with multilabel images.

7 Acknowledgement

First of all I would like to thank my theses supervisor Dr. Muhammad Iqbal for his constant guidance throughout this journey . My supervisor has cleared all my doubts in weekly meeting and discussed my work progress for improvement. I must express my regards to my family and my friends to inspire me for working hard.

References

- Adedeji, O. and Wang, Z. (2019). Intelligent waste classification system using deep learning convolutional neural network, *Procedia Manufacturing* **35**: 607–612.
- Aral, R. A., Keskin, Ş. R., Kaya, M. and Hacıömeroğlu, M. (2018). Classification of trashnet dataset based on deep learning models, *2018 IEEE International Conference on Big Data (Big Data)*, IEEE, pp. 2058–2062.
- Bircanoglu, C., Atay, M., Beşer, F., Genç, Ö. and Kızrak, M. A. (2018). Recyclenet: Intelligent waste sorting using deep neural networks, *2018 Innovations in Intelligent Systems and Applications (INISTA)*, IEEE, pp. 1–7.
- Chen, T. and Guestrin, C. (2016). Proceedings of the 22nd acm sigkdd international conference on knowledge discovery and data mining-kdd'16.
- Dhulekar, P., Gandhe, S. and Mahajan, U. P. (2018). Development of bottle recycling machine using machine learning algorithm, *2018 International Conference On Advances in Communication and Computing Technology (ICACCT)*, IEEE, pp. 515–519.
- Gupta, D., Jain, S., Shaikh, F. and Singh, G. (2017). Transfer learning & the art of using pre-trained models in deep learning, *Analytics Vidhya* .
- He, K., Zhang, X., Ren, S. and Sun, J. (2016). Deep residual learning for image recognition, *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 770–778.
- Jaworek-Korjakowska, J., Kleczek, P. and Gorgon, M. (2019). Melanoma thickness prediction based on convolutional neural network with vgg-19 model transfer learning, *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition Workshops*, pp. 0–0.
- Kaljahi, M. A., Palaiahnakote, S., Anisi, M. H., Idris, M. Y. I., Blumenstein, M. and Khan, M. K. (2019). A scene image classification technique for a ubiquitous visual surveillance system, *Multimedia Tools and Applications* **78**(5): 5791–5818.
- Krizhevsky, A., Sutskever, I. and Hinton, G. E. (2012). Imagenet classification with deep convolutional neural networks, *Advances in neural information processing systems*, pp. 1097–1105.
- Lai, Z. and Deng, H. (2018). Medical image classification based on deep features extracted by deep model and statistic feature fusion with multilayer perceptron, *Computational intelligence and neuroscience* **2018**.
- Ma, L., Li, M., Ma, X., Cheng, L., Du, P. and Liu, Y. (2017). A review of supervised object-based land-cover image classification, *ISPRS Journal of Photogrammetry and Remote Sensing* **130**: 277–293.
- Proença, P. F. and Simões, P. (2020). Taco: Trash annotations in context for litter detection, *arXiv preprint arXiv:2003.06975* .

- Sakr, G. E., Mokbel, M., Darwich, A., Khneisser, M. N. and Hadi, A. (2016). Comparing deep learning and support vector machines for autonomous waste sorting, *2016 IEEE International Multidisciplinary Conference on Engineering Technology (IMCET)*, IEEE, pp. 207–212.
- Satvilkar, M. (2018). *Image Based Trash Classification using Machine Learning Algorithms for Recyclability Status*, PhD thesis, Dublin, National College of Ireland.
- Shaha, M. and Pawar, M. (2018). Transfer learning for image classification, *2018 Second International Conference on Electronics, Communication and Aerospace Technology (ICECA)*, IEEE, pp. 656–660.
- Sharma, N., Jain, V. and Mishra, A. (2018). An analysis of convolutional neural networks for image classification, *Procedia computer science* **132**: 377–384.
- Sidharth, R., Rohit, P., Vishagan, S., Karthika, R. and Ganesan, M. (2020). Deep learning based smart garbage classifier for effective waste management, *2020 5th International Conference on Communication and Electronics Systems (ICCES)*, IEEE, pp. 1086–1089.
- Simonyan, K. and Zisserman, A. (2014). Very deep convolutional networks for large-scale image recognition, *arXiv preprint arXiv:1409.1556*.
- Srinilta, C. and Kanharattanachai, S. (2019). Municipal solid waste segregation with cnn, *2019 5th International Conference on Engineering, Applied Sciences and Technology (ICEAST)*, IEEE, pp. 1–4.
- Sudha, S., Vidhyalakshmi, M., Pavithra, K., Sangeetha, K. and Swaathi, V. (2016). An automatic classification method for environment: Friendly waste segregation using deep learning, *2016 IEEE Technological Innovations in ICT for Agriculture and Rural Development (TIAR)*, IEEE, pp. 65–70.
- Tarun, K., Sreelakshmi, K. and Peeyush, K. (2019). Segregation of plastic and non-plastic waste using convolutional neural network, *IOP Conference Series: Materials Science and Engineering*, Vol. 561, IOP Publishing, p. 012113.
- Wang, B., Zhou, W. and Shen, S. (2018). Garbage classification and environmental monitoring based on internet of things, *2018 IEEE 4th Information Technology and Mechatronics Engineering Conference (ITOEC)*, IEEE, pp. 1762–1766.
- Williams, P. T. (2005). *Waste treatment and disposal*, John Wiley & Sons.
- Xu, Q., Zhang, M., Gu, Z. and Pan, G. (2019). Overfitting remedy by sparsifying regularization on fully-connected layers of cnns, *Neurocomputing* **328**: 69–74.
- Xu, X., Qi, X. and Diao, X. (2020). Reach on waste classification and identification by transfer learning and lightweight neural network.
- Yang, M. and Thung, G. (2016). Classification of trash for recyclability status, *CS229 Project Report* **2016**.

Zhang, H., Qiu, D., Wu, R., Deng, Y., Ji, D. and Li, T. (2019). Novel framework for image attribute annotation with gene selection xgboost algorithm and relative attribute model, *Applied Soft Computing* **80**: 57–79.

Zhihong, C., Hebin, Z., Yanbo, W., Binyan, L. and Yu, L. (2017). A vision-based robotic grasping system using deep learning for garbage sorting, *2017 36th Chinese Control Conference (CCC)*, IEEE, pp. 11223–11226.